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## PART I. INTRODUCTION

#### The GUIDANCE ON THE APPLICATION OF WILDLIFE PROTECTION LAW IN VIETNAM 2021 ("Law

**Guidance**") is intended as a reference document to support authorities in the processes of managing and handling violations related to wildlife, as well as in dealing with exhibits consisting of wildlife that was confiscated or voluntarily transferred, all on the basis of legal documents in effect at the time of publication (June 2021). On the basis of research in practice, ENV gives specific instructions for handling violations in accordance with the law. Authorities are encouraged to research relevant legal documents when considering violations.

ENV would like to thank those scientists, individuals, agencies, and units who provided their comments and enabled ENV to finalize this document. For further comments or additions to this guide, and for assistance in the handling of wildlife violations, please contact ENV's Policy and Legislation Program at the information below.

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## PART II. **USING THE LAW GUIDANCE**

Part II of this document is designed to provide a guideline for authorities on applying the Law Guidance when handling violations in practice. Therefore, after referring to this Law Guidance when dealing with wildlife violations, authorities can follow the below process:

#### Step 1: Identify the species and level of protection involved

To accurately identify wildlife species, authorities can send species identification requests to a judicial expert person/organization in species identification as prescribed by law, or to one of the scientific agencies of CITES Vietnam (according to Decision No. 2249/QD-BNN-TCLN dated June 17, 2020 of the Ministry of Agriculture and Rural Development on Designation of CITES Scientific Authorities of Vietnam) below:

1. The Institute of Ecology and Biological Resources of the Vietnam Academy of Science and Technology – Wildlife and aquatic animals

Address: No. 18 Hoang Quoc Viet Street, Hanoi

Research Institute for Marine Fisheries – Aquatic animals Address: No. 244 Le Lai Street, May Chai Ward, Ngo Quyen District, Hai Phong city

The Vietnamese CITES scientific agencies are competent to identify CITES specimens, according to regulations in Article 34 of the government's Decree 06/2019/ND-CP dated January 22, 2019 on the management of endangered, precious, and rare species of forest flora and fauna and the implementation of the Convention on International Trade in Endangered Species of Wild Fauna and Flora.

After the species name has been identified, authorities can refer to the list of species and corresponding protection status in Appendix I of this Law Guidance (pages 39-55). Determining which species belongs to which List/Group is the basis and prerequisite for offering suitable handling measures in accordance with the provisions of the law on wildlife protection. A wild animal may belong to many different Groups/Lists of species for protection. However, regulations have to be applied according to the Group/List with a higher degree of protection. ENV has arranged the lists of species for protection in order of priority from left to right; accordingly, the first Group/List has the highest applicable value when being applied in handling violations.

#### Step 2: Identify violations

To identify violations, the authorities can refer to the definitions of violations listed in Part III. Guidance on handling wildlife violations of this Law Guidance, compiled by ENV from current legal provisions. The law only stipulates penalties for illegal acts (acts that are not allowed in every circumstance and acts that are permitted only under the condition of having a license or legal document as prescribed by law).

For acts requiring a license or legal document as prescribed by law, ENV would ask agencies to refer to the List of Legal Papers in Appendix 3 to assess whether or not the act can be deemed a violation. All illegal acts or acts that are beyond the permitted scope are classified as violations.

In case it is necessary to assess the valuation of wildlife as a basis for handling violations, please refer to the valuation base according to the provisions of Article 60 of the Law on Handling of Administrative Violations in 2012 (in case of administrative sanctions) or Article 15 of Decree 30/2018/ND-CP dated March 7, 2018 of the government, detailing the establishment and operation of the Asset Valuation Council and the order and procedures for property valuation in criminal proceedings (in case of prosecution). The authorities can also refer to the monetary value of wildlife from valuation enterprises in the List of valuation enterprises with functions and valuation capabilities for wildlife in Appendix 4 of this document.

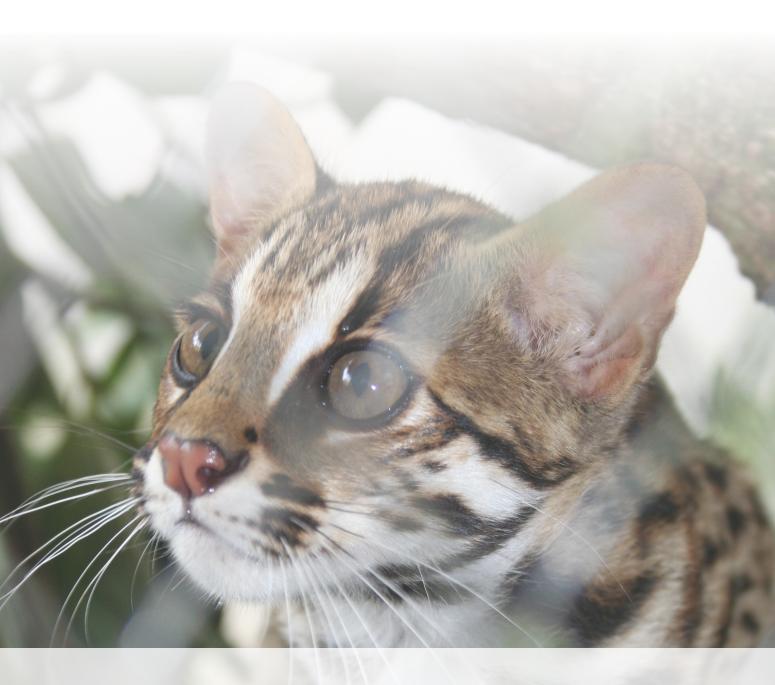
#### Step 3: Impose sanctions

In this step, authorities can refer to the measures for violations described in **Part III. Guidance on handling wildlife violations** in this document, in order to correctly consider and apply handling measures for each violation affecting certain species, as prescribed by law.

#### Step 4: Handling confiscated wildlife (if any)

Where the handling of confiscated wild animals arises, authorities can refer to ENV's recommendations in **Section 6.2. ENV's recommendations for dealing with confiscated wildlife** for an optimal solution in terms of both legal aspects and conservation goals.





# PART III. GUIDANCE ON HANDLING WILDLIFE VIOLATIONS



## 1. ABBREVIATIONS

ABBREVIATION	DESCRIPTION		
MARD	Ministry of Agriculture and Rural Development		
MONRE	Ministry of Natural Resources and Environment		
С	Condition		
C*1	Condition: Derived from farming		
C*2	Condition: Not alive		
C*3	Other condition		
CITES	The Convention on International Trade in Endangered Species of Wild Fauna and Flora		
CITES VN	CITES Management Authority of Vietnam		
PE	Prohibited export		
IUCN	Red List of Threatened Species of International Union for Conservation of Nature and Natural Resources		

## 2. LIST OF STATUTES

No	STATUTES			
1	Convention on International Trade in Endangered Species of Wild Fauna and Flora. CITES Appendices were translated and announced on CITES Vietnam's Notice No. 296/TB-CTVNHTQT dated November 27, 2019.			
2	Penal Code	Penal Code No. 100/2015/QH13 of the National Assembly, dated November 27, 2015 (amended and supplemented in Law 12/2017/QH14 dated June 20, 2017, effective from January 1, 2018).		
3	Criminal Procedure Code	Criminal Procedure Code No.101/2015/QH13 of the National Assembly, dated November 27, 2015, effective from January 1, 2018.		
4	Law on Investment	Law No. 61/2020/QH14 of the National Assembly, dated June 17, 2020, effective from January 1, 2021.		
5	Law on Environmental Protection	<ul> <li>Law No. 55/2014/QH13 dated June 23, 2014, of the National Assembly, effective from January 1, 2015 to December 31, 2021, amended and supplemented by following</li> <li>Law No. 35/2018/QH14 dated November 20, 2018 of the National Assembly) as amending and supplementing a number of articles of 37 laws related to planning, effective from January 1, 2019;</li> <li>Law on Public Investment No. 39/2019/QH14 dated June 13, 2019 of the National Assembly, effective from January 1, 2020;</li> <li>Law on Investment No. 61/2020/ QH14 dated June 17, 2020 of the National Assembly, effective from January 1, 2021.</li> <li>and will be replaced by Law No. 72/2020/QH14 dated November 17, 2020 of the National Assembly, effective from January 1, 2022.</li> </ul>		
6	Law on Fisheries Law No. 18/2017/QH14 of the National Assembly, dated November 21, 2017 Fisheries, effective from January 1, 2019.			
7	Law No. 16/2017/QH14 of the National Assembly dated November 15, 2017, Forestry, effective from January 1, 2019.			
8	Law on Management and use of Public Property			
9	Law on Veterinary Medicine  Law No. 79/2015/QH13 of the National Assembly, dated June 19, 2015, on veterinary medicine, effective from July 1, 2016.			

No	STATUTES		
10	Law No. 16/2012/QH13 of the National Assembly, dated June 21, 2012, on advertising, effective from January 1, 2013 (amended and supplemented in 35/2018/QH14 dated November 20, 2018, taking effect on July 1, 2019).		
11	Law on Handling of Administrative Violations	Law No. 15/2012/QH13 of the National Assembly, dated June 20, 2012, on the handling of administrative violations, effective from July 01,/2013.	
12	Law on Food Safety	Law No. 55/2010/QH12 of the National Assembly, dated June 17, 2010, on food safety, effective from July 1, 2011.	
13	Law on Biodiversity	Law No. 20/2008/QH12 of the National Assembly, dated November 13, 2008, on biodiversity (amended and supplemented in Law 35/2018/QH14 dated November 20, 2018, taking effect on July 1, 2019).	
14	Decree 38	Decree 38/2021/ND-CP dated March 29, 2021, prescribing penalties for administrative violations involving cultural and advertising activities, effective from June 1, 2021.	
15	Decree 98	Decree No. 98/2020/ND-CP dated August 26, 2020, prescribing penalties for administrative violations against regulations on commerce, production and trade in counterfeit and prohibited goods, and protection of consumer rights.	
16	Decree 42	Decree No. 42/2019/ND-CP dated May 16, 2019, on penalties for administrative violations against regulations on Fisheries, effective from July 5, 2019.	
17	Decree 35	Decree No. 35/2019/ND-CP of the government, dated April 25, 2019, on penalties for administrative violations against regulations on Forestry, effective from June 10, 2019.	
18	Decree 26	Decree No. 26/2019/ND-CP of the government, dated March 8, 2019, on guidelines for the implementation of the law on Fisheries, effective from April 25, 2019.	
19	Decree 06	Decree No. 06/2019/ND-CP of the government, dated January 1, 2019, on the management of endangered, precious, and rare species of forest fauna and flora and the observation of the Convention on International Trade in Endangered Species of Wild Fauna And Flora, effective from March 10, 2019.	
20	Decree 30	Decree No. 30/2018/ND-CP of the government, dated March 7, 2018, prescribing the establishment and operation of the Asset Valuation Council, and the process and procedures for asset valuation in criminal procedures, effective from May 1, 2018.	
21	Decree 29	Decree No. 29/2018/ND-CP of the government, dated March 5, 2018, prescribing the processes and procedures for establishing all-people ownership of property and disposal of property under established all-people ownership, effective from March 5, 2018.	
22	Decree 15	Decree No. 15/2018/ND-CP, dated February 02, 2018, elaborating on a number of articles in the Law on Food Safety, effective from February 2, 2018.	
23	Decree 90	Decree No. 90/2017/ND-CP, dated July 31, 2017, prescribing penalties for administrative violations against regulations on veterinary medicine.	
24	Decree 155	Decree No. 155/2016/ND-CP of the government, dated November 18, 2016, on administrative sanction with respect to environmental protection, effective from February 1, 2017.	

No	STATUTES		
25	Decree 18	Decree No. 18/2015/ND-CP, dated February 14, 2015, on environmental protection planning, strategic environmental assessment, environmental impact assessment and environmental protection plans, effective from April 1, 2015.	
26	Decree 160	Decree No. 160/2013/ND-CP of the government, dated November 12, 2013, on the criteria for the identification and management of endangered, rare, and precious species prioritized for protection (amended and supplemented in Decree 64/2019/NĐ-CP - Decree 64, dated July 16, 2019, taking effect on September 5, 2019).	
27	Decree 65	Decree No. 65/2010/ND-CP of the government, dated June 11, 2010, detailing and providing guidance on several articles of the Laws on Biodiversity, effective from July 30, 2010.	
28	Resolution 05	Resolution No. 05/2018/NQ-HDTP of the Justice Council of the People's Supreme Court, dated November 11, 2018, guiding the application of the Penal Code's Article 234 on violations against the regulations on wild animal welfare, and Article 244 on violations against the regulations on endangered or rare animals, effective from December 1, 2018.	
29	Circular 29	Circular No. 29/2019/TT-BNNPTNT, dated December 31, 2019, on the handling of forest animals as exhibits and forest animals voluntarily submitted to the State by organizations and individuals, effective from February 02, 2020.	
30	Circular 35	Circular No. 35/2018/TT-BTNMT, dated December 28, 2018, on promulgating invasive alien species determination criteria and lists, effective from February 11, 2019.	
31	Circular 173	Circular 173/2013/TT-BTC of the Ministry of Finance, dated November 20, 2013 implementation instructions on management and handling of experience and means of administrative violations temporarily kept and forfised following administrative procedures by the minister of financial, effective from January 5, 2014.	
32	Circular 27	Circular No. 27/2018/TT-BNNPTNT, dated November 16, 2018, on the management and tracing of forest products, effective from January 1, 2019.	
33	Circular 19	Circular No. 19/2018/TT-BNNPTNT of the Ministry of Agriculture and Rural Development, dated November 15, 2018, on guidelines for the protection and development of aquatic resources, effective from January 1, 2019.	
34	Circular 57	Circular No. 57/2018/TT-BTC, dated July 5, 2018, guiding the implementation of several articles of the government's Decree No.29/2018/ND-CP dated March 5, 2018, providing the process and procedures for establishing people ownership rights and the handling of people owned-properties, effective from August 20, 2018.	
35	Circular 25 MONRE	Circular No. 25/2016/TT-BTNMT of the Ministry of Natural Resources and Environment, dated September 25, 2016, guiding on the application form and certificate of biodiversity conservation facilities and the form for the report on conservation status of species on the <i>List of endangered, precious, and rare species prioritized for protection</i> at biodiversity conservation facilities, effective from November 8, 2011.	
36	Circular 25 MARD	Circular No. 25/2016/TT-BNNPTNT, dated June 30, 2016, providing for the quarantine of terrestrial animals and animal products, effective from August 15, 2016.	

No	STATUTES		
37	Circular 26	Circular No. 26/2016/TT-BNNPTNT, dated June 30, 2016, providing for the quarantine of aquatic animals and animal products, effective from August 15, 2016.	
38	Circular 09  Circular No. 09/2016/TT-BNNPTNT, dated June 1, 2016, providing for animal slaughter control and veterinary hygiene inspection, effective from July 16, 2		

## 3. GLOSSARY OF TERMS

No.	TERMS	GLOSSARY OF TERMS	
1	Species	A group of organisms that closely resemble each other and can interbreed within the group and reproduce the next generation.	
2	Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)	An international agreement between its member governments. Its aim is to ensure that international trade in specimens of endangered wild animals and plants does not threaten their survival in nature.	
3	CITES Appendix I	List of endangered species that are threatened with extinction and are prohibited for export, import, re-export, re-import, and introduction from the sea, as well as transit of natural specimens for commercial purposes (translated and announced in Vietnam CITES Management Authority's Notice No. 296/TB-CTVN-HTQT dated November 27, 2019).	
4.	CITES Appendix II	List of vulnerable species that are currently not threatened with extinction, but might become extinct without control of the export, import, re-export, introduction from the sea, and transit of natural specimens thereof for commercial purposes (translated and announced in Vietnam CITES Management Authority's Notice No. 296/TB-CTVN-HTQT dated November 27, 2019).	
5	CITES Appendix III	List of wildlife species that some countries identify as being subject to regulation within their jurisdictions for the purpose of preventing or restricting exploitation, and as requiring the co-operation of other parties in the control of trade (translated and announced in Vietnam CITES Management Authority's Notice No. 296/TB-CTVN-HTQT dated November 27, 2019).	
6	Endangered, precious, and rare wild species prioritized for protection	Wild species that have special scientific, medical, economic, ecological, scenic, environmental, or cultural-historical value and exist in nature in small numbers or are in danger of extinction (according to the Law on Biodiversity). The List of endangered, precious, and rare species prioritized for protection includes forest animals and marine animals listed in Decree 64.	
7	Endangered, precious, and rare forest animals/fauna	Forest animals that have special economic, scientific, medical, ecological, scenic, and environmental values and exist in nature in small numbers or are under threat of extinction (according to the Law on Forestry). Endangered, precious, and rare species are in Groups IB and IIB under the Species List issued in Decree 06.	

No.	TERMS	GLOSSARY OF TERMS	
8	D06 Group IB	List of endangered, precious, and rare species of forest fauna threatened with extinction and banned from exploitation or use for commercial purposes, and species in CITES Appendix I that are native or indigenous to Vietnam (according to Decree 06).	
9	D06 Group IIB	List of species of forest fauna that are currently not threatened with extinction but might become extinct without the strict control of their exploitation and use for commercial purposes, as well as species specified in CITES Appendix II as being native or indigenous to Vietnam (Decree 06).	
10	Common forest fauna/animals	Terrestrial/forest animals/species classed as mammals, birds (aves), reptiles (reptilia), and amphibians (amphinia) that are <b>not</b> on the List of endangered, precious, and rare species of forest fauna and flora issued by the government and are <b>not</b> CITES-listed species; or the list of domesticated animals under provisions of the Law on Animal Husbandry (according to Decree 06).	
11	Endangered, precious, and rare aquatic species	Aquatic species that spend the majority of or the whole life cycle living in water and are valuable in terms of the economy, science, health, ecology, scenery, and the environment. These species have a small population size or are facing extinction (according to the Law on Fisheries). Endangered, precious, and rare aquatic species include Group I and II as issued with Decree 26.	
12	Common aquatic species	Aquatic species that are not on the Lists of endangered, precious, and rare species under the law.	
13	Other wildlife	Species that do not live in natural distribution in Vietnam and are not listed in CITES Appendices, or are unidentified in terms of whether they are common forest fauna/animals or not.	
14	Alien species	A species that appears and grows in an area that is not its natural habitat (Law on Biodiversity).	
15	Invasive alien species	Invasive alien species include known invasive alien species and potentially invasive species according to the list issued with Circular 35.	
16	Wildlife individual	An animal body, live or dead, with bodily integrity or non-integrity, for example a dead civet without organs or a dead tiger without legs (according to Resolution 05).	
17	Products from wild animals and endangered, precious, and rare animals	Products that originate from animals (e.g. meat, eggs, milk, sperm, embryos, blood, internal organs, skin, fur, bones, horns, ivory, legs, claws, etc.); whole bodies of aquatic animals which have been preliminary treated and processed; or products of which the ingredients are processed from body parts of wild, endangered, and rare animals (e.g. bone glue made from wild animals; handbags, purses, and belts made from skins of wild animals) (according to Resolution 05, Decree 35 and Circular 29).	
18	Vital body parts	Animal body parts that have specialized functions, i.e. parts that are essential for the animal to remain alive (e.g. head, heart, skin, skeleton, liver) (according to Resolution 05 and Decree 35).	
19	Prohibited goods	Including goods prohibited from trade, goods not yet allowed for circulation and use, and goods that are prohibited from circulation and use in Vietnam (according to Decree 98 and Decree 30).	

No.	TERMS	GLOSSARY OF TERMS
20	Legal papers	Documents/licenses as issued by competent authorities allowing organizations and individuals to perform certain acts related to wildlife, and/or documents/ papers required by law as a prerequisite for organizations and individuals to perform certain acts related to wildlife. Any individual or organization failing to show these documents is considered in violation of the law. The list of legal papers and their respective applicable wildlife groups is presented in Appendix 3 of this document.

## 4. QUICK REFERENCE

SPECIES	S THE MANAGEMENT AND HANDLING OF VIOLATIONS		
	"D64 species" are on the List of endangered, precious, and rare species prioritized for protection under Decree 160, which is amended and supplemented by Decree 64.		
D64 appoins	Violations involving D64 species are dealt with most severely among violations of wildlife species groups, according to Vietnamese law.		
D64 species	All violations related to D64 species and their products and vital body parts are criminally prosecuted for criminal liability, regardless of the weight, quantity, or monetary value of exhibits (except for possessing individuals and vital body parts of D64 species occurring before January 1, 2018, advertising, and some violations of the order and procedures, which may be considered for administrative violations).		
	In terms of the management and handling of violations, species listed under CITES Appendix I are treated similarly to those in Group IB of Decree 06.		
CITES Appendix I /D06 Group IB species	Exploitation of and use for commercial purposes of these species is strictly banned. Violations involving CITES Appendix I / IB species are either prosecuted or administratively fined, depending on the number of individuals and vital body parts, the product value, or in the case of recidivism.		
	Note: In the handling of violations, species concurrently listed under Decree 64 and D06 CITES Group IB / Appendix I are treated as species listed under Decree 64.		
	In terms of the management and handling of violations, species listed under CITES Appendix II are treated similarly to those in Group IIB of Decree 06.		
CITES Appendix II /D06 Group IIB species	Exploitation of or use for commercial purposes of these species is restricted. Keeping/ trading these animals is allowed, provided that the person in question can provide papers showing the legal origin of the animals according to current regulations. Violations involving CITES Appendix II / IIB species should either be prosecuted or administratively fined, based on the value of the animals (over, equal to or under VND 150 million), the amount of illicit profits (over, equal to or under VND 50 million), or in the case of recidivism.		
	Note: In the handling of violations, species concurrently listed under Decree 64 and D06 Group IIB / CITES Appendix II are treated as species listed under Decree 64.		
	These species are only allowed to be raised, kept, traded, or imported if origin can be certified according to the law.		
CITES Appendix III species	Violations related to CITES Appendix III species will be prosecuted or constitute administrative violations, depending on the monetary value of the exhibits (over, equal to or under VND 300 million), the amount of illicit profits (over, equal to or under VND 50 million) or in the case of recidivism.		

SPECIES	THE MANAGEMENT AND HANDLING OF VIOLATIONS
Common forest species	There is currently no list of common forest species. These species are not on the List of endangered, precious, and rare species of forest fauna and flora issued by the government, and neither are they CITES-listed species or on the List of domesticated animals under provisions of the law on animal husbandry.  Violations involving common forest species should either be prosecuted or administratively fined, based on: the value of the animals (over, equal to or under VND 300 million) or the amount of illicit profits (over, equal to or under VND 50 million), or in the case of recidivism.
	"D26 Group I aquatic species" refers to aquatic species of Group I listed in the List issued with Decree 26.  D26 Group I aquatic species may only be exploited for one of the following purposes: Conservation, scientific research, creation of original breeds, and international cooperation. Exploitation of these species for commercial purposes is strictly prohibited.
D26 Group I aquatic species	In cases where aquatic species are listed in D26 Group I and CITES Appendix I and II, violations are treated similarly to species in CITES Appendix I or II. Violations involving D26 Group I aquatic species (not simultaneously listed in the CITES Appendices) should be prosecuted or administratively fined, depending on the specific act, the value of aquatic resource damages, or the value of the confiscated aquatic products and the weight of exhibits.
"D26 Group II aquatic species" refers to aquatic species of Group II listed in the with Decree 26.  D26 Group II aquatic species may be exploited for one of the following purpose: Conservation, scientific research, creation of original breeds, international coope exploitation for commercial purposes, or other purposes according to the provise Decree 26.  In cases where aquatic species are listed in both D26 Group II and CITES Appel II, violations are treated similarly to species in CITES Appendix II. Violations involved Group II aquatic species (not simultaneously listed in CITES Appendix II) sprosecuted or administratively fined depending on the weight of the exhibits.	
Invasive alien species	Invasive alien species include known invasive alien species and potentially invasive species according to the list issued with Circular 35. The most common invasive species in the trade is the red-eared slider.  Violations related to invasive alien species will be prosecuted or constitute administrative violations, depending on the purpose and location of the violation and the extent of the violation in terms of monetary value.



#### 5. GUIDANCE FOR HANDLING COMMON VIOLATIONS

#### 5.1. ADVERTISING WILDLIFE FOR SALE

Advertising wildlife for sale entails using any means to publicize information of wildlife individuals, parts, and products for the purpose of selling it.

There are some typical means of advertising, including offering wildlife on a menu, putting up signboards and banners advertising wildlife for sale, as well as creating online advertisements on websites, forums, or social media such as Facebook, Zalo, Youtube, Tik Tok, and more.

#### Note:

- If a subject illegally advertises animals for sale while simultaneously being in possession of the animals, punishments relating to both types of violations are applied (i.e., advertising and possession).
- The fines set forth in this document are the penalties applicable to individual violators only.

#### **Examples:**

- · Bear bile advertised for sale on signboards
- · Pangolin advertised for sale on menus
- Turtle advertised for sale on Facebook
- Rhino horn and tiger bone glue advertised in traditional Chinese medicine (TCM) shops.









No.	Species	Legal basis	Measures
5.1.1	D06 Group IB species     CITES Appendix I species     D26 Group I aquatic species	<ul> <li>Law on Investment, Article 6</li> <li>Law on Advertising, Articles 7 and 8</li> <li>Decree 06, Article 5 (Clause 2)</li> <li>Decree 38, Article 33</li> </ul>	Note: All species listed herein are prohibited goods under the Law on Investment and other relevant regulations, and are therefore prohibited from being advertised.  If wildlife is detected:  Monetary administrative fine ranging from VND 70 million to VND 100 million, according to Article 33 of Decree 38 on advertising banned goods  Administrative fine or prosecution for illegally keeping/ trading/possessing wildlife, depending on the species and the number/value/weight of confiscated wildlife (please see Section 5.2)  Removal of advertisement  Confiscation of wildlife  If no wildlife is detected:  Monetary dministrative fine ranging from VND 70 million to VND 100 million, according to Article 33 of Decree 38 on advertising banned goods  Removal of advertisement
5.1.2	Forest animals under  • CITES Appendix II  • D06 Group IIB species	<ul> <li>Decree 06, Article 5 (Clauses 1 and 2)</li> <li>Decree 35, Article 16 (Clause 4, Point dd)</li> </ul>	<ul> <li>If wildlife is detected without legal papers:         <ul> <li>Monetary administrative fine ranging from VND 1 million to VND 1.5 million, pursuant to Article 16 of Decree 35 for illegal advertising</li> <li>Administrative fine or prosecution for illegally raising/ keeping/trading/possessing wildlife, depending on the value of the wildlife (please see Section 5.2)</li> <li>Removal of advertisement</li> <li>Confiscation of wildlife</li> <li>If wildlife is detected with legal papers: No violation</li> <li>If no wildlife is detected:                 <ul> <li>Monetary administrative fine ranging from VND 1 million to VND 1.5 million, pursuant to Article 16 of Decree 35 for illegal advertising</li> <li>Removal of advertisement</li> </ul> </li> </ul></li></ul>

No.	Species	Legal basis	Measures	
5.1.3	Aquatic species under • CITES Appendix II • D26 Group II	Decree 06, Chapter 03	<ul> <li>If wildlife is detected without legal papers:         <ul> <li>Administrative fine or prosecution for illegally raising/ keeping/trading/possessing endangered aquatic species, depending on the weight and value of the animals (Please see Section 5.2)</li> <li>Removal of advertisement</li> <li>Confiscation of wildlife</li> </ul> </li> <li>If wildlife is detected with legal papers: No violation</li> <li>If no wildlife is detected: Conducting legal education and removal of advertisement</li> </ul>	
5.1.4	Common aquatic species	Law on Fisheries, Article 7 (Clause 6)	<ul> <li>If wildlife is detected without documents proving its source/origin:         <ul> <li>Monetary administrative fine for trading, preliminary processing, and preserving of aquatic products of unknown origin (Please see Section 5.2)</li> <li>Removal of advertisement</li> </ul> </li> <li>If wildlife is detected with documents proving its source/origin: No violation</li> <li>If no wildlife is detected: Conducting legal education and removal of advertisement</li> </ul>	
5.1.5	Common forest species	<ul> <li>Law on Forestry, Article 9 (Clause 6)</li> <li>Decree 35, Article 16 (Clause 4, Point dd)</li> </ul>	<ul> <li>If wildlife is detected without legal papers:         <ul> <li>Monetary administrative fine ranging from VND 1 million to VND 1.5 million, pursuant to Clause 4, Article 16 of Decree 35 for illegal advertising</li> <li>Administrative fine or prosecution for illegal possession/trading of wildlife, depending on the value of the wildlife (Please see Section 5.2)</li> <li>Removal of advertisement</li> <li>Confiscation of wildlife</li> <li>If wildlife is detected with legal papers: No violation</li> <li>If no wildlife is detected:                 <ul> <li>Monetary administrative fine ranging from VND 1 million to VND 1.5 million, pursuant to Article 16 of Decree 35 for illegal advertising</li> <li>Removal of advertisement.</li> </ul> </li> </ul></li></ul>	

No.	Species	Legal basis	Measures	
5.1.6	Forest animals under CITES Appendix III (that are not common forest species)	CITES	<ul> <li>If wildlife is detected without documents proving its source/origin:         <ul> <li>Administrative fine or prosecution for illegal trading of wildlife without legal origin, depending on the value of the wildlife (Please see Section 5.2)</li> <li>Removal of advertisement</li> <li>Confiscation of wildlife</li> </ul> </li> <li>If wildlife is detected with documents proving its source/origin: No violation</li> <li>If no wildlife is detected: Conducting legal education and removal of advertisement.</li> </ul>	
5.1.7	Other wildlife		Note: This section is applicable for species that do not have a natural distribution in Vietnam but are not listed in CITES Appendices, or are unidentified in terms of whether they are common forest fauna/animals or not  If wildlife is detected without documents proving its source/origin:  Administrative fine or prosecution for illegal possession/trading of wildlife, depending on the value of the wildlife (Please see Section 5.2)  Removal of advertisement  Confiscation of wildlife  If wildlife is detected with documents proving its source/origin: No violation  If no wildlife is detected: Conducting legal education and removal of advertisement.	

Example case: The Environment Police of province X detected that Restaurant A advertised a dish called "hawksbill sea turtle" (Eretmochelys imbricata) with a picture of this species on the menu. However, when the authorities arrived to check the site, no hawksbill sea turtles or other wildlife were found. Since hawksbill sea turtles are listed simultaneously as a D64 species and in CITES Appendix I, any advertisement involving this species is prohibited. The owner of Restaurant A is subject to an administrative fine ranging from VND 70 million to VND 100 million and removal of the advertisement, according to Article 33 of Decree 38.



#### 5.2. VIOLATIONS INVOLVING LIVE ANIMALS: HUNTING, KILLING, TRADING, TRANSPORTING AND KEEPING OR POSSESSING, TRADING AND TRANSPORTING WILDLIFE INDIVIDUALS OR VITAL BODY PARTS/PRODUCTS FROM WILD ANIMALS AND ENDANGERED, PRECIOUS, AND RARE ANIMALS

Illegal hunting (or exploiting) is the illegal use of weapons, tools, hunting equipment, or other means to obtain one or more wildlife individuals without permission from the competent authorities.

Illegal killing is intentionally causing the death of one or more wildlife individuals without the permission of competent authorities.

Illegal raising/keeping (storage, collection, and preservation) is keeping one or more (live) wildlife individuals for any purpose without legal documents proving its origin.

Illegal possession is the storage of dead wildlife, vital body parts/products from wild animals without the permission of competent authorities and not for the purpose of illegal trade and profits. Although "wildlife individual" refers to an animal body that is live or dead, "illegal possession" refers to possessing a dead body, as possessing a live body constitutes raising/keeping, as described above.

#### Note:

A person who illegally possesses wild, endangered, or rare animals, vital body parts, and products thereof before January 1, 2018, shall not bear criminal responsibility for such violation, except for those cases in which a person possesses wild, endangered, or rare animals, vital body parts, and products thereof for the purpose of illegal trade and profits (Illegal trading). In this circumstance, the subject must be sanctioned the administrative violation according to the related regulations. If the wildlife constituted Decree 64 species, the subject can be sanctioned the administrative violation as for D06 Group IB species (Resolution 05 and Decree 35).

Making TCM bone/other wildlife bone, preliminarily treating and processing, or illegally preserving wildlife could be considered as illegal possession of wildlife.

Illegal transporting is the illegal movement of wildlife individuals, their vital body parts, or products from one place to another (including border gates) by any means, not necessarily for trading or possessing purposes, without the permission of competent authorities.

Illegal trading is an illegal exchange of wildlife individuals (live or dead), their vital body parts, or products for the purpose of remuneration or other profits without the permission of competent authorities. Trading also covers the keeping, storing, possessing and transporting of wildlife for commercial purposes.

Storage, collection, and preservation (for aquatic animals) is the concentration of wildlife (live, dead, or both) from various sources in one location for care.

Preparation or processing of animals/animal products (for aquatic animals) includes the cleansing, cutting, classification, freezing, salting, smoking, drying, packaging, or applying of other processing methods on animals/ animal products for instant use or for use as ingredients for processing of food, animal feed, or other purposes (Article 3 of the Law on Veterinary Medicine 2015).

Note: The fines in this document are individual penalties.

#### **Examples:**

- Detecting hunters with hunting tools and exhibits of dead or injured douc langurs in a national park.
- · Illegally keeping a loris or an otter as a pet.
- Illegally killing a bear (regardless of whether this bear has/has not been registered with a competent State authority).
- Illegally transporting rhino horn.
- · Illegally trading meat and bones of wildlife (tiger bones, pangolin meat)
- Illegally possessing or displaying a sea turtle specimen.

No.	Species	Legal basis	Measures		
5.2.1	D64 species				
a)	Wildlife individual (live or dead), vital body parts	<ul> <li>Decree 160</li> <li>Decree 64</li> <li>Penal Code, Article 244 (Clauses 1,2,3, and 4)</li> <li>Criminal Procedure Code, Article 106</li> <li>Resolution 05, Article 04</li> </ul>	Criminal prosecution based on the number of confiscated animals (live or dead) and/or vital body parts, pursuant to Article 244 of the Penal Code Confiscation of wildlife		
b)	Products  Note: According to the Law on Veterinary Medicine, Decree 35, Resolution 05, products of wildlife include body parts (excepting vital body parts)	<ul> <li>Decree 160</li> <li>Decree 64</li> <li>Penal Code, Article 244 (Clauses 1 and 4)</li> <li>Criminal Procedure Code, Article 106</li> <li>Resolution 05, Article 04</li> </ul>	Criminal prosecution regardless of the weight or quantity of exhibits, pursuant to Point b, Clause 1, Article 244 of the Penal Code Confiscation of wildlife		
5.2.2	Rote: Article 244 of the Penal Code will be applied when handling violations related to elephants and rhinos, regardless of the specific scientific names of those species.  Other violations related to products other than ivory and rhino horn, for instance elephant tail hair, not mentioned in this section, are subject to regulations on handling violations related to products of D64 or CITES Appendix I / D06 Group IB species, depending on the protection level of elephants or rhinos.  This provision is not applied to the handling of violations related to ivory or rhino horn of species that are extinct or not on the protection list of Vietnamese or international laws, such as elephant genus Mammuthus.				
a)	Elephants/rhinos (dead or alive) or their vital body parts	<ul> <li>CITES</li> <li>Decree 160</li> <li>Decree 64</li> <li>Decree 06</li> <li>Penal Code, Article 244 (Clauses 2,3, and 4)</li> <li>Criminal Procedure Code, Article 106</li> </ul>	<ul> <li>Prosecution pursuant to         Clause 2 or 3 Article         244 of the Penal Code,         based on the confiscated         elephants or rhinos</li> <li>Confiscation of wildlife</li> </ul>		
b)	(1) Ivory weighing 2 kg or more (2) Rhino horn weighing 0.05 kg or more (3) Ivory weighing under 2 kg or rhino horn weighing under 0.05 kg, but where the subject has already been administratively fined or criminally punished with an unspent conviction for the offence prescribed under Article 244 of the Penal Code.	<ul> <li>CITES</li> <li>Decree 160</li> <li>Decree 64</li> <li>Decree 06</li> <li>Penal Code, Article 244 (Clauses 1,2,3, and 4)</li> <li>Criminal Procedure Code, Article 106</li> </ul>	<ul> <li>Prosecution based on the weight of confiscated exhibits, pursuant to Article 244 of the Penal Code</li> <li>Confiscation of wildlife</li> </ul>		

No.	Species	Legal basis	Measures
c)	Ivory weighing under 2 kg/rhino horn weighing under 0.05 kg  (Note: Only applicable for first-time offenders or in conviction expungement cases  CITES Appendix I / D06 IB species	<ul> <li>CITES</li> <li>Decree 160</li> <li>Decree 64</li> <li>Decree 06</li> <li>Decree 35, Articles 22 and 23</li> <li>Criminal Procedure Code, Article 106</li> </ul>	Monetary administrative fine ranging from VND 180 million to VND 360 million, pursuant to Article 22 and Article 23 of Decree 35, based on the weight of confiscated exhibit     Confiscation of wildlife
5.2.3	<b>Note</b> : In the case of violations involving D06 D64, violations are handled as for species or		
a)	<ul> <li>(1) Forest animals or aquatic species (live or dead) or their vital body parts from:</li> <li>3 or more mammal individuals</li> <li>7 or more bird and/or reptile individuals</li> <li>10 or more individuals of other classes or</li> <li>(2) Violations where the number of wildlife individuals involved is lower than the aforementioned, but where the subject has already been administratively fined or criminally punished with an unspent conviction for the same offence prescribed under Article 244 of the Penal Code.</li> </ul>	CITES Law on investment Decree 06 Penal Code, Article 244 (Clauses 1,2,3, and 4) Resolution 05 Criminal Procedure Code, Article 106	Prosecution based on the number of confiscated exhibits, pursuant to Article 244 of the Penal Code  Confiscation of wildlife  Note: For the same case, if multiple animals including mammals, birds, and reptiles are seized, as well as other classes of animals, but the quantity of each class does not reach the number specified in Article 244 of the Penal Code, the offender who violates the regulations on protection of wild, endangered, or rare animals shall not bear the criminal responsibility in this case (Article 6 of Resolution 05).
b)	<ul> <li>(1) Products of forest animals or aquatic species with a value of VND 100 million or more; or</li> <li>(2) Product value under VND 100 million but:         <ul> <li>Illicit profit earned from products is VND 50 million VND or more; or</li> <li>The subject has already been administratively fined or criminally punished with an unspent conviction for the same offence prescribed under relatable violations of the Penal Code</li> </ul> </li> </ul>	<ul> <li>CITES</li> <li>Decree 06</li> <li>Penal Code, Articles 190 and 191</li> <li>Criminal Procedure Code, Article 106</li> </ul>	<ul> <li>Prosecution based on the value of confiscated exhibits pursuant to Articles 190 and 191 of the Penal Code</li> <li>Confiscation of wildlife</li> </ul>
c)	<ul> <li>(1) Products of forest animals with a value under VND 100 million; or</li> <li>(2) Wildlife individuals or vital body parts in one of the following cases: <ul> <li>1-2 mammal individuals</li> <li>1-6 bird/reptile individuals</li> <li>1-9 individuals of other classes</li> </ul> </li> <li>(Note: Only applicable for first-time offenders or conviction expungement cases)</li> </ul>	<ul> <li>CITES</li> <li>Decree 06</li> <li>Decree 35, Article 06 (Clause 3)</li> <li>Decree 35, Articles 21,22, and 23</li> </ul>	<ul> <li>Monetary administrative fine from VND 15 million to VND 400 million, based on the value of the products or the number of wildlife individuals involved, pursuant to Articles 21, 22 and 23 of Decree 35</li> <li>Confiscation of wildlife</li> </ul>

No.	Species	Legal basis	Measures
d)	<ul> <li>(1) Products of aquatic animals with a value of under VND 100 million</li> <li>(2) Wildlife individuals or vital body parts in one of the following cases: <ul> <li>1-2 mammal individuals</li> <li>1-6 bird/reptile individuals</li> <li>1-9 individuals of other classes</li> </ul> </li> <li>(Note: Only applicable for first-time offenders or conviction expungement cases)</li> </ul>	<ul> <li>CITES</li> <li>Decree 06</li> <li>Decree 42, Article 41 (Clause 2)</li> </ul>	Monetary administrative fine from VND 30 million to VND 100 million, based on the weight of confiscated exhibits, pursuant to Clause 2, Article 41 of Decree 42     Confiscation of wildlife
5.2.4	CITES Appendix II / D06 IIB species  Note: In the case of violations involving specialso listed in D64, measures are applied as the second sec		
a)	<ul> <li>(1) Forest animals or aquatic species</li> <li>(live or dead) or their vital body parts or products valued at VND 150 million or more; or</li> <li>(2) The value of dead animals or their body parts or products is under VND 150 million, but:</li> <li>The subject has already been administratively fined or criminally punished with an unspent conviction for the same offence prescribed under Article 234 of the Penal Code; or</li> <li>Illicit profit earned from dead animals or their body parts or products is VND 50 million or more.</li> </ul>	<ul> <li>CITES</li> <li>Decree 06</li> <li>Penal Code, Article 234</li> <li>Resolution 05, Article 4, Article 2 (Clause 1)</li> <li>Criminal Procedure Code, Article 106</li> </ul>	<ul> <li>Prosecution based on the value of exhibits, pursuant to Article 234 of the Penal Code</li> <li>Confiscation of wildlife</li> </ul>
b)	Forest animals (live or dead) or their body parts or products valued at under VND 150 million, and illicit profit earned is under VND 50 million  (Note: Only applicable for first-time offenders or conviction expungement cases)	<ul> <li>CITES</li> <li>Decree 06</li> <li>Decree 35, Article 6 (Clause 3), Articles 21, 22 and 23</li> </ul>	Monetary administrative fine ranging from VND 5 million to VND 300 million, based on the value of confiscated animals, pursuant to Articles 21, 22 and 23 of Decree 35.      Confiscation of wildlife
c)	Aquatic species (live or dead) or their vital body parts or products valued at under VND 150 million, and illicit profit earned is under VND 50 million  (Note: Only applicable for first-time offenders or conviction expungement cases)	CITES Decree 42, Article 8 (Clause 2) and Article 41 (Clause 1)	Monetary administrative fine ranging from VND 3 million to VND 60 million, based on the weight of confiscated animals, pursuant to Article 8 and 41 of Decree 42     Confiscation of wildlife

No.	Species	Legal basis	Measures		
5.2.5	D26 Group I aquatic species (excepting species mentioned in CITES Appendix I/II) live or dead, their vital body parts or products  Note: For D26 Group I aquatic species that are simultaneously mentioned in CITES Appendix I/II, the violations are handled as for species on CITES Appendix I/II.				
a)	<ul> <li>(1) Illegal trading, transporting, or possessing of aquatic species or their body parts or products valued at VND 100 million or more; or</li> <li>(2) The value of aquatic species is under VND 100 million, but:</li> <li>Illicit profit from wild animals is VND 50 million or more; or</li> <li>The subject has been administratively fined or has been convicted of this offence and other related offenses, while the criminal record has not been expunged, but the subject continues to commit violations.</li> </ul>	<ul> <li>CITES</li> <li>Law on investment</li> <li>Decree 06</li> <li>Penal Code, Articles 190 and 191</li> <li>Criminal Procedure Code, Article 106</li> </ul>	<ul> <li>Criminal prosecution based on the value of confiscated animals, pursuant to         Articles 190 and 191 of the Penal Code     </li> <li>Confiscation of wildlife.</li> </ul>		
b)	Illegal trading, processing, or transporting aquatic species or their body parts or products valued under VND 100 million; and Illicit profit from wild animals is under VND 50 million.  (Note: Only applicable for first-time offenders or conviction expungement cases)  Other violations	<ul> <li>Law on Fisheries, Article 7 (Clause 6)</li> <li>Decree 42, Article 8 (Clause 3) and Article 41 (Clause 2)</li> <li>Decree 26</li> </ul>	Monetary administrative fine or criminal prosecution based on the weight of confiscated animals, ranging from VND 30 million to VND 100 million, pursuant to Article 8 and 41 of Decree 42     Confiscation of wildlife		
5.2.6	D26 Group II aquatic species (excepting species simultaneously mentioned in CITES Appendix II) Note: For D26 Group II aquatic species simultaneously mentioned in CITES Appendix II, violations are handled as for species in CITES Appendix II	<ul> <li>Law on Fisheries, Article 7 (Clause 6)</li> <li>Decree 42, Article 8 (Clause 2) and Article 41 (Clause 1)</li> <li>Decree 26</li> </ul>	Monetary administrative fine or criminal prosecution based on the weight of aquatic animals, ranging from VND 3 million to VND 60 million, pursuant to Article 8 and 41 of Decree 42     Confiscation of wildlife		
5.2.7	Common forest animals				
a)	<ul> <li>(1) The value of forest animals is VND 300 million or more; or</li> <li>(2) The value of forest animals is under VND 300 million, but: <ul> <li>the subject has already been administratively fined or criminally punished with an unspent conviction for the offence prescribed under Article 234 of the Penal Code; or</li> <li>Illicit profit from wild animals is VND 50 million or more</li> </ul> </li> </ul>	<ul> <li>Law on Forestry, Article 9 (Clause 3)</li> <li>Penal Code, Article 234</li> <li>Resolution 05, Article 2 (Clause 2)</li> <li>Criminal Procedure Code, Article 106</li> </ul>	<ul> <li>Prosecution pursuant to Article 234 of the Penal Code based on the value of confiscated animals</li> <li>Confiscation of wildlife</li> </ul>		

No.	Species	Legal basis	Measures	
b)	The value of forest animals is under VND 300 million and illicit profit earned is under VND 50 million  (Note: Only applicable for first-time offenders or conviction expungement cases)	<ul> <li>Law on Forestry, Article 9 (Clause 3)</li> <li>Decree 35, Articles 21, 22, and 23</li> </ul>	Based on the value of confiscated animals, monetary administrative fine ranging from VND 5 million to VND 300 million, pursuant to Articles 21, 22, and 23 of Decree 35     Confiscation of wildlife	
5.2.8	CITES Appendix III species (including aquamentioned in section 5.2.7)	atic animals, but excepting c	common forest animals	
a)	<ul> <li>(1) The value of CITES Appendix III animals or their body parts/products is VND 300 million or more; or</li> <li>(2) The value of animals or their body parts/products is under VND 300 million, but:</li> <li>The subject has already been administratively fined or criminally punished with an unspent conviction for the offence prescribed under Article 234 of the Penal Code; or</li> <li>Illicit profit from wild animals is VND 50 million VND or more</li> </ul>	<ul> <li>Penal Code, Article 234</li> <li>Resolution 05, Article 2 (Clause 2) and Article 4</li> <li>Criminal Procedure Code, Article 106</li> <li>Decree 42, Article 41</li> </ul>	<ul> <li>Prosecution pursuant to Article 234 of the Penal Code, based on the value of confiscated animals</li> <li>Confiscation of wildlife</li> </ul>	
b)	Illegally trading CITES Appendix III animals or their body parts/products valued at under VND 300 million (Note: Only applicable for first-time offenders or conviction expungement cases)	Decree 98, Article 17	Monetary administrative fine ranging from VND 300,000 to VND 50 million, pursuant to Article 17 of Decree 98 for trading goods of unknown origin     Confiscation of wildlife	
c)	Illegally transporting terrestrial animals in CITES Appendix III valued at under VND 300 million  Note: Only applicable for first-time offenders or conviction expungement cases)	Decree 90, Article 11 (Clause 4)	Monetary administrative fine ranging from VND 5 million to VND 6 million, pursuant to Article 11 of Decree 90 for transporting goods of unknown origin     Forced quarantine or forced destruction of animals and animal products.	
5.2.9	Common aquatic species: Collecting, trading, storing, and transporting, preliminary processing and processing of aquatic species of unknown origins, according to the Law on Fisheries	<ul><li>Decree 26</li><li>Decree 42, Article 41</li></ul>	Monetary administrative fine ranging from VND 30 million to VND 40 million, pursuant to Article 41 of Decree 42, regardless of the number, weight, or value of confiscated exhibits	
5.2.10	Other wildlife Note: These are species that do not have a natural distribution in Vietnam but are not listed in CITES Appendices, or are unidentified in terms of whether they are common forest fauna/animals or not.			

No.	Species	Legal basis	Measures
a)	Illegally trading animals or their body parts	Decree 98, Article 17	Monetary administrative fine ranging from VND 300,000 to VND 50 million, pursuant to Article 17 of Decree 98 for trading goods of unknown origin     Confiscation of wildlife
b)	Illegally transporting terrestrial animal or their products	Decree 90, Article 11 (Clause 4)	<ul> <li>Monetary administrative fine ranging from VND 5 million to VND 6 million, pursuant to Article 11 of Decree 90 for transporting goods of unknown origin</li> <li>Forced quarantine or forced destruction of animals and animal products.</li> </ul>

**Example case 1:** Authorities detected a case of illegal transportation of three live otters. All three are identified as being **Asian small-clawed otters** (*Aonyx cinerea*), which are listed in the *List of endangered, precious, and rare species prioritized for protection* issued with **Decree 64, CITES Appendix I**, and **D06 Group IB**. In this case, violations against this species should be considered violations against animals on Decree 64's *List of endangered, precious, and rare species prioritized for protection*. Thus, for the act of illegally transporting three mammal individuals on this List, **point a, Clause 2, Article 244 of the Penal Code** is applied, with the punishment being prison sentences of five to ten years.

**Example case 2:** Authorities confiscated two **alligator snapping turtles** (*Macrochelys temminckii*) and three **snapping turtles** (*Chelydra serpentina*) (listed in **CITES Appendix III**) from a subject, who was illegally transporting the wildlife from province X to province Y for consumption. Through inspection, the subject could not prove the origin of the wildlife, and could also not provide other documents related to animal quarantine. In this case, the authorities may consider applying administrative fines for transporting animals of unknown origin under **Article 11 of Decree 90.** In addition, the authorities can also consider applying administrative fines for the acts of not having a Certificate of Quarantine and/or not registering for quarantine according to **Articles 10 and 17 of Decree 90.** as wildlife quarantine is required when wildlife is transported out of the province. There are also veterinary hygiene regulations applied for wildlife during transportation. In case the subject did not follow these regulations, the authorities may consider applying administrative fines under **Article 23 Decree 90.** 



#### 5.3 VIOLATIONS INVOLVING ALIEN SPECIES AND INVASIVE ALIEN SPECIES

Currently, the keeping, trading, and transporting of wildlife that is not native to Vietnam (alien species) remain complex and can have adverse effects on native species and ecosystems in Vietnam. Traded alien species may be included in the CITES Appendix, List of invasive alien species, or not in any of the categories.

The instructions below are applied for violations involving alien species and invasive alien species.

No.	Species	Legal basis	Measures		
5.3.1	CITES Appendix I, II, III alien species: Apply the re	egulations in section 5.	2		
5.3.2	Alien species not listed in CITES Appendices, and those which are not invasive alien species or their products: Apply the regulations detailed in section 5.2.10				
5.3.3	Invasive alien species (including invasive species a	and alien species posi	ng invasion risk)		
a)	<ul> <li>(1) Illegally imported:</li> <li>Invasive alien species valued at VND 250 million or higher; or</li> <li>Invasive alien species valued at under VND 250 million, and where the subject has been administratively fined for this regulation but continued to violate; or</li> <li>(2) Dispersal (raising, storing, transporting) of invasive alien species causing damage of VND 150 million or more.</li> </ul>	Penal Code, Article 246	<ul> <li>Criminal prosecution based on the value of confiscated exhibits, pursuant to Article 246 of the Penal Code</li> <li>Confiscation of wildlife</li> </ul>		
b)	Illegally importing invasive alien species valued under VND 250 million, or     Dispersal (raising, storing, transporting) of invasive alien species not causing damage, or causing damage valued at under VND 150 million inside/outside the nature reserve  (Note: Only applicable to first-time offenders)	Decree 155, Article 43	Monetary administrative fine of up to VND 1 billion, pursuant to Article 43 of Decree 155, based on damage inside/outside the nature reserve     Confiscation of wildlife for destruction or export		

Example: The authorities detect a subject selling red-eared sliders in front of the gate of X pagoda of the Y province for Buddhist worshippers to set free. Red-eared sliders (Trachemys scripta elegans) are identified as an invasive alien species according to Circular 35. In this case, the authorities need to immediately confiscate and destroy the red-eared sliders, as well as consider a monetary administrative fine from VND 5 million to VND 10 million for selling the red-eared sliders, according to Article 43 of Decree 155, for the act of keeping/raising invasive alien species outside a natural reserve for commercial purposes, in the case of controlling and causing no damage.

#### 5.4. PROCEDURAL VIOLATIONS

- ❖ Procedural violations against forest animals for which administrative sanctions are imposed include the following cases:
  - (1) Transporting, trading, storing, and processing wildlife with legal origin but not fully complying with the regulations on documents for legal forest products.
  - (2) The vehicle operator and/or the owner of the wildlife fail to present the forest product dossiers to the official in charge of inspecting the vehicle within 24 hours from the time of inspection.
  - (3) The owner of the establishment processing and/or trading or raising wildlife fails to record forest products received or delivered.
  - (4) The owner of the facility keeping wildlife fails to register, notify, or record activities in the monitoring book in accordance with the law.
- Procedural violations against aquatic species consist of:
  - (1) Rearing, breeding, artificially growing, and cultivating endangered, precious, and rare aquatic species with legal sources but not registering the codes of the facilities.
  - (2) Failure to record in the monitoring book any breeding, rearing, and artificial propagation of endangered, precious, and rare aquatic species according to the law.

No.	Species	Legal basis	Measures
5.4.1	Forest animal (CITES Appendices I, II, D06 Group IB, IIB, or common forest animal)  Note: This regulation also applies to D64 species that do not result in prosecution.  Accordingly, violations involving D64 species without prosecution will instead receive an administrative fine as stipulated in D06 Group IB species (Article 6 of Decree 35).	Decree 35, Article 24	Monetary administrative fine ranging from VND 500,000 to VND 10 million
5.4.2	Aquatic animal (CITES Appendices I, II; D26 Group I, II)	Decree 42, Article 19	Monetary administrative fine ranging from VND 1 million to VND 10 million



#### 5.5. VIOLATION RELATED TO WILDLIFE QUARANTINE OR ENVIRONMENTAL **PROTECTION**

Wildlife of all species groups, including forest animals and aquatic animals, are required to be quarantined and must meet the conditions on environmental protection according to the law.

Note: Exemption from quarantine for wildlife in the case of (i) intra-province transportation, (ii) processed products of aquatic animals used as food imported for personal consumption (not over 5 kg), (iii) animals/aquatic animal products imported under diplomatic status, (iv) forest animals used for security purposes and national defense in case of emergency, (v) handmade handicrafts from forest animal products, and (vi) animals and forest animal products exported and imported in accordance with diplomatic regulations (Circular 25 and Circular 26 of Ministry of Agriculture and Rural Development).

- Regulations on handling violations related to quarantine are applied in cases of transporting wildlife out of the province and the export/import of wildlife. This includes: evading the quarantine; dishonestly registering for quarantine; not registering for quarantine; lack of Certificate of Quarantine; use of Certificate of Quarantine that does not match the consignment; swapping or changing the number of animals that have been granted a Certificate of Quarantine; erasing and correcting the Certificate of Quarantine; arbitrarily removing the seal from marked wildlife products being transported; arbitrarily changing the means of transport; etc.
- Typical violations of environmental protection regulations include:
- (i) Regulations on the implementation of the environmental protection plan: Failure to implement the environmental protection plan; building or installing equipment, pipes, or other systems that discharge untreated waste into the environment; failure to operate according to schedule or improper operation of the environmental protection plan regulation; and operation without the certified environmental protection plan according to regulations.
- (ii) Regulations on Environmental Impact Assessment (EIA) report: Failure to have the EIA approved as regulated; failure to implement remedies for environmental pollution caused by such production, business, and service activities; failure to build the wastewater collection system separately from the rainwater collection system; and failure to equip sufficient means and equipment for collecting, storing, and classifying solid waste at source.
- (iii) Regulations on acts causing obstruction of State management, inspection, and imposition: Failure to appoint an authorized representative as prescribed by law to attend the ceremony to announce the decision on the inspection of environmental protection issues, or failure to appoint an authorized representative to work with the inspection team with respect to environmental protection issues.

No.	Type of violation	Legal basis	Measures
5.5.1	Violation in animal quarantine		
a)	Violation of transporting in/out of province	Decree 90, Articles 10,11, 12 and 17	<ul> <li>Monetary administrative fine ranging from VND 500,000 to VND 8 million</li> <li>Confiscation of the Certificate of Quarantine and/ or additional sanctions corresponding to each case</li> </ul>
b)	Violations against regulations on quarantine of exported/ imported animals and products thereof; animals and animal products that are temporarily imported, temporarily exported or transited through the territory of Vietnam	Decree 90, Articles 13,14,15,16,18 and 19	<ul> <li>Monetary administrative fine ranging from VND 3 million to VND 50 million, pursuant to Decree 90, Articles 13,14,15,16,18 and 19</li> <li>Confiscation of the Certificate of Quarantine and/ or sanctions corresponding to each case</li> </ul>
5.5.2	Violations against regulations on environmental protection (including forest and aquatic animals)	Decree 155, Articles 8, 9, 11, 12, and 47	Warning or monetary administrative fine ranging from VND 500,000 to VND 250 million, pursuant to Decree 155, Articles 8, 9, 11, 12, and 47, and additional sanctions or remedial measures corresponding to each case

#### 5.6. CONSERVATION FACILITY VIOLATIONS

Conservation facilities are establishments that take care of, raise, rescue, and propagate wild species, crop plants, domestic animals, microorganisms, and fungi that are valuable and endemic; or store and preserve genetic resources and genetic specimens for biodiversity conservation and development purposes.

There are six types of conservation facilities (according to Circular 25 MONRE), of which two involve wildlife:

- 1. Conservation facilities to nurture and keep D64 species
- 2. Conservation facilities to rescue animals

No.	Violation	Legal basis	Measures
5.6.1	The illegal killing, raising, keeping, trading, and transporting of wild animals; transporting, trading, or possessing their vital and non-vital parts and products; or illegal extracting of derivatives at conservation facilities	<ul> <li>Penal Code, Articles 234 and 244</li> <li>Decree 35, Articles 21, 22, and 23</li> <li>Decree 42, Article 41</li> </ul>	Imposing punishments listed in Section 5.2, corresponding to each type of violation
5.6.2	Not reporting the conditions of D64 species	<ul> <li>Law on Biodiversity, Article 43 (Clause 2, Point d)</li> <li>Decree 155, Article 42 (Clause 1)</li> </ul>	The conservation facility will receive a warning pursuant to Article 42 of Decree 155
5.6.3	Not registering or reporting an animal's source, or lacking the dossier to monitor D64 species	<ul> <li>Law on Biodiversity, Article 43 (Clause 2, Point b)</li> <li>Decree 155, Article 42 (Clause 2)</li> </ul>	Monetary administrative fine ranging from VND 500,000 to VND 1 million, pursuant to Article 42 of Decree 155
5.6.4	(1) Holding the certificate, but not upholding the criteria that allows the facility to be granted the conservation facility certificate  (2) Not complying with regulations on protecting, raising, and taking care of D64 species	<ul> <li>Law on Biodiversity, Article 42 (Clause 2), and Article 43 (Clause 2)</li> <li>Decree 155, Article 42 (Clauses 3 and 5)</li> </ul>	<ul> <li>Monetary administrative fine ranging from VND 2 million to VND 5 million, pursuant to Article 42 of Decree 155</li> <li>Withdrawal of the conservation facility certificate for three to six months</li> </ul>
5.6.5	(1) Reporting false information of actual conditions in order to be granted the certificate     (2) Operating without a legal conservation facility certificate granted by competent authorities (in case of wildlife with evidence of legal origin)	Decree 155, Article 42 (Clauses 4 and 5)	<ul> <li>Monetary administrative fine ranging from VND 5 million to VND 10 million, pursuant to Article 42 of Decree 155</li> <li>Confiscation of wildlife</li> </ul>
5.6.6	Within 12 months after obtaining its certificate, the biodiversity conservation facility does not operate	Decree 65, Article 4 (Clause 4)	Biodiversity conservation facility certificate revocation

### 5.7. VIOLATIONS RELATED TO WILD ANIMAL HABITATS

No.	Violation	Legal basis	Measures	
5.7.1	<ul> <li>Violations of regulations on aquatic resources:</li> <li>Use of banned substances or chemicals, toxins, explosives, electric impulses, electric currents, or fishing tackle for extracting aquatic resources</li> <li>Destruction of the habitat of D64 aquatic species</li> </ul>			
a)	<ul> <li>Damage caused at VND 100 million or more; or</li> <li>The value of confiscated aquatic animals is VND 50 million or more; or</li> <li>The subject has already been administratively fined or criminally punished with an unspent conviction for the same offence prescribed under Clause 1, Article 242 of the Penal Code</li> </ul>	<ul><li>Law on Fisheries</li><li>Decree 26</li><li>Penal Code, Article 242</li></ul>	Prosecution pursuant to Article 242 of the Penal Code	
b)	<ul> <li>Damaged caused of under VND 100 million; or</li> <li>Aquatic animal valued under VND 50 million</li> </ul>	<ul><li>Law on Fisheries</li><li>Decree 26</li><li>Decree 42 (Clauses 6 and 29)</li></ul>	Administrative fine pursuant to Articles 6 and 29 of Decree 42	
5.7.2	Regulations on managing nature reserves			
a)	<ul> <li>Damage to property under VND 50 million; or:</li> <li>Damage caused to ecology, landscape, or ecosystem in strictly protected sub-zone covering an area of 300 square meters or more; or</li> <li>The subject has already been administratively fined or criminally punished with an unspent conviction for the same offence prescribed under Clause 1, Article 245 of the Penal Code</li> </ul>	<ul><li>Law on Fisheries</li><li>Law on Forestry</li><li>Penal Code, Article 245</li></ul>	Prosecution pursuant to Article 245 of the Penal Code	
b)	The damage is valued under VND 50 million; or  The damage is done to a habitat and ecosystem in a strictly protected area with a total area of under 300 square meters	<ul><li>Law on Fisheries</li><li>Law on Forestry</li><li>Decree 35</li><li>Decree 42</li></ul>	Administrative fine based on each violation pursuant to Decree 35 and Decree 42	
5.7.3	Damage to a strictly protected subdivision of a reserve in other cases than in Section 5.7.2.	<ul> <li>Law on Biodiversity</li> <li>Decree 155, Article 39 (Clause 3)</li> </ul>	Administrative fine from VND 1 million to VND 400 million     Confiscation of exhibits and/or evidence causing violations     Restoration of the destroyed sanctuary area	

No.	Violation	Legal basis	Measures
5.7.4	Failure to leave movement pathways for fishes when carrying out fishing operations by using fixed fishing gears in rivers, lakes, and lagoons; failure to create migration corridors or movement pathways for aquatic species when building, modifying, or demolishing building structures or carrying out other operations affecting the movement of aquatic animals; illegally blocking the natural movement of aquatic species	<ul> <li>Law on Fisheries</li> <li>Decree 42, Article</li> <li>6</li> </ul>	Administrative fine from VND 5 million to VND 10 million     Confiscation of exhibits and/or evidence causing violations     Enforced restoration to original conditions
5.7.5	Destroying aquatic resources, aquatic ecosystems, areas where fishes live in breeding season, or areas where juvenile fishes live; or destroying the habitats of D26 Group I/II or D64 species	<ul><li>Law on Fisheries</li><li>Decree 42, Article</li><li>6</li></ul>	Administrative fine from VND 50 million to VND 100 million     Confiscation of exhibits and/or evidence causing violations
5.7.6	Encroaching upon, appropriating, or harming protected areas of aquatic resources	<ul><li>Law on Fisheries</li><li>Decree 42, Article</li><li>6</li></ul>	Administrative fine from VND 100 million to VND 150 million     Confiscation of exhibits and/or evidence causing violations     Enforced restoration to original conditions
5.7.7	Exploring/exploiting natural resources or building/demolishing structures underwater or within subaqueous soil that deteriorates or causes loss of aquatic resources, or harms the areas where fishes live in breeding season, where juvenile fishes live, or the migration corridors of aquatic species	<ul><li>Law on Fisheries</li><li>Decree 26</li><li>Decree 42, Article 6</li></ul>	Administrative fine from VND 150 million to VND 200 million     Confiscation of exhibits and/or evidence causing violations     Enforced restoration to original conditions
5.7.8	Failure to release the required amount of produced aquatic breeds of endangered, rare, and precious species into natural waters within the prescribed time limit when obtaining permission from a regulatory authority to catch endangered, rare, and precious aquatic species for the purposes of research, creation, and production of aquatic breeds	<ul> <li>Law on Fisheries</li> <li>Decree 26</li> <li>Decree 42, Article 8</li> </ul>	Administrative fine from VND 50 million to VND 100 million     Release endangered, rare, and precious aquatic species

#### 6. GUIDANCE FOR HANDLING WILDLIFE

#### 6.1. PROCEDURES FOR HANDLING WILDLIFE WHOSE PUBLIC OWNERSHIP IS ESTABLISHED (MANAGED BY THE STATE)

(only available in Vietnamese version)

#### 6.2. ENV'S RECOMMENDATIONS FOR DEALING WITH CONFISCATED OR **VOLUNTARILY TRANSFERRED WILDLIFE**

#### NOTE:

- Based on the species list, each wildlife species can be treated in different ways after being confiscated or voluntarily transferred. From a legal perspective, more effective and later-enforced regulations must be prioritized in the application of the law. However, in some cases, this application might be compliant with the law, but at the same time be inappropriate in terms of conservation value or the spirit of the legislation intended to afford the species with the proper protection.
- Reintroduction is applied in cases where the wildlife is assessed as healthy, is confiscated near its natural habitat, and is only traded in a short period of time. It is also necessary to have an expert opinion on the viability of wildlife before releasing back into the wild.

No.	Group of species		ENV's recommendation	Legal reference	
		Live animals	Transfer to rescue centers appropriate for each species and place of confiscation.	Decree 160, Article 14 (Clauses 1 and 3)	
6.2.1	D64 species	Dead animals/ animal body parts or	Transfer to the Vietnam National Museum of Nature, or destroy.	Decree 160, Article     13 (Clause 5), and     Article 14 (Clause 4)	
		products	indecam of Materio, of accuracy.	Circular 57, Article 3     (Clause 2)	
	Note: These animals may not be auctioned. The management regime for D64 species that are concurrently listed under D06 Group IB and IIB is applied as for D06 Group IB and IIB species.				
Forest species listed under CITES Appendix I	Live animals	Transfer to a rescue center, zoological garden managed by the State or a special-use forest management board with an animal rescue facility suitable to the species and the receiving location.	<ul> <li>Decree 06, Articles 10 and 32</li> <li>Circular 57, Article 4 (Clauses 1 and 2), Articles 10 and 12</li> </ul>		
	Appendix I	Dead animals/ animal body parts or products	Transfer to the Vietnam National Museum of Nature, or destroy.	<ul> <li>Decree 06, Article 32</li> <li>Circular 57, Article 3 (Clause 2)</li> <li>Circular 29, Articles</li> </ul>	
	Note: These ar	nimals may not be au	uctioned.	10, 13, and 15	

No.	Group of species		ENV's recommendation	Legal reference	
	Forest	Live animals	Transfer to a rescue center, zoological garden managed by the State, or a special-use forest management board with an animal rescue facility suitable to the species and the receiving location.	Decree 06, Article     10     Circular 29: Articles     10 and 12	
6.2.3	species listed under D06 Group IB	Dead animals/ animal body parts or products	<ul> <li>Transfer specimens body parts of D06 Group IB species to the State Reserve Agency.</li> <li>Transfer dead animals or products of D06 Group IB species to the Vietnam National Museum of Nature, or destroy.</li> </ul>	<ul> <li>Decree 06, Article 10</li> <li>Circular 57, Article 3 (Clause 2)</li> <li>Circular 29, Article 1 (Clause 4), and Articles 13 and 15</li> </ul>	
			uctioned, as these species are not allowed t natural origin (Law on Investment, Decree 0		
	Forest species listed under D06 Group IIB, CITES Appendix II (Excepting species under D64, Group IB, and CITES Appendix I)	Live animals	Transfer to a rescue center, zoological garden managed by the State, or a special-use forest management board with an animal rescue facility suitable to the species and the receiving location.	Decree 06, Article     10 (Clause 1)     Circular 29, Articles     10 and 13	
6.2.4		Dead animals/ animal body parts or products	Transfer to research organizations, environmental education institutions, or specialized museums for exhibition purposes for preservation education, or destroy.	<ul> <li>Decree 06, Article 10 (Clause 2)</li> <li>Circular 29, Articles 10, 13, and 15</li> </ul>	
	Note: Only apply auctioning of forest animals when the following conditions are not met: Conditions of release into the wild, transfer to a rescue center, or forced destruction.				
6.2.5	Aquatic species listed under:  • CITES Appendix I,	Live animals	Reintroduction: Transfer injured individual to an aquatic species rescue center so that it is nurtured and healed before being released into its natural habitat.	<ul> <li>Decree 26, Article 8 (Clause 5, Point a)</li> <li>Circular 57, Article 3 (Clause 2, Point e)</li> </ul>	
		Dead animals/ animal body parts or products	Transfer to the Vietnam National Museum of Nature or research institute, or destroy.	Decree 26, Article 8     (Clause 5, Point b)     Circular 57, Article 3     (Clause 2, Point e)	
			l uctioned, as these species are not allowed t natural origin (Investment Law, Decree 26).	to be traded for	

No.	Group of species		ENV's recommendation	Legal reference	
	Aquatic species of	Live animals	Reintroduction: transfer injured individual to an aquatic species rescue center so that it is nurtured and healed before being released into its natural habitat.	Decree 26, Article 8 (Clause 5, Point b)	
6.2.6	D26 Group II	Dead animals/ animal body parts or products	Transfer to the Vietnam National Museum of Nature or research institute, or destroy.	Decree 26, Article 8 (Clause 5, Point b)	
	Note: These animals may not be auctioned under any circumstances (Decree 26, Article 8).				
6.2.7	Common forest wild	Live animals	Release into nature, sell, or destroy (in case of disease or if the animals cannot be handled by other measures).	• Circular 29, Articles 10, 14, and 15	
	animals	Dead animals/ animal body parts or products	Auction or destroy.	• Circular 29, Articles 10, 14, and 15	
	Note: Auction o	of common species is	s acceptable (Circular 29).		



## 6.3. SUMMARY OF CONTENT OF APPLICABLE LAWS FOR HANDLING CONFISCATED WILDLIFE

#### Criminal Procedure Code in 2015:

Group of species	Measures
All wildlife species	After receiving judicial assessment (regardless of any stage in the proceedings), wildlife specimens must be handed over to competent authorities immediately for handling in accordance with the law.

#### Resolution 05

Group	o of species	Measures
	Live animals	Exhibits must be handed over to competent authorities immediately for release or transfer to rescue centers, nature conservation zones, or to other agencies and organizations after receiving judicial assessment, according to the provisions of law.
All wildlife species	Dead animals/ animal products that are perishable and difficult to preserve	Destroy or hand over to a specialized agency to be competently dealt with in accordance with the law.  Note: Only parts (specimens) of species under D06 Group IB are to be transferred to the State Reserve Agency of the Ministry of Finance. All exhibits of other endangered, precious, and rare wildlife species (D64 species, CITES Appendix I species that are not concurrently listed under D06 Group IB species) should be destroyed or assigned as above.
	Other exhibits	Confiscated or destroyed in accordance with the law.

#### Decree 160:

Group of species		Measures
	Live animals	Release healthy animals into the wild or transfer weak/injured animals to rescue centers.
D64 species  Dead animals	Transfer to scientific agencies, facilities of environmental training and education, or specialized museums for research, storage, education, or raising awareness of the community.	
		Destroy infectious animals or those that cannot be handled through the abovementioned measures.



Group of species	Measures
Forest animals - including those that are living and healthy, dead specimens, products, and body parts - which are prohibited from use for commercial purposes	<ul> <li>Transfer live animals to FPDs for release into their natural habitats.</li> <li>Transfer to zoos managed by the State.</li> <li>Transfer to science research centers (including breeding science centers, environmental education centers, and specialized research centers).</li> <li>Transfer to the Vietnam National Museum of Nature.</li> </ul>
D06 Group IB species (parts or specimens)	Transfer to the State Reserve Agency under the Ministry of Finance to perform the task of keeping and preserving in accordance with the decision of the Prime Minister.
Endangered, precious, and rare aquatic animals not used for commercial purposes	<ul> <li>Transfer live animals to regional sub-departments of Fisheries Resources Surveillance, provincial Fisheries authorities, or protected areas to release animals into their natural habitats.</li> <li>Transfer to the Vietnam National Museum of Nature.</li> <li>Transfer to science research centers (including breeding science centers, environmental education centers, and specialized research centers).</li> </ul>

#### Decree 06:

Group of species		Measures
	Live animals	Post-seizure handling of live specimens must include appropriate care for specimens to avoid the risk of specimen death.
		Specimens shall be transferred to the local Forestry Administration after handling for the purpose of care and maintenance. Live specimens shall be handled in the following order of priority:
Endangered,		✓ Reintroduction of specimens into the natural environment suitable for their living conditions and natural distribution, if specimens are deemed healthy.
precious, and rare forest species under		✓ Transfer to animal rescue agencies, zoos, or arboreta if the specimens need to be rescued or eliminated.
Group IB, IIB	Specimens (dead animals/ animal body parts or products)	Specimens of D06 Group IB species shall be handled in compliance with provisions of the law on the management and use of public assets.
		Specimens of D06 Group IIB species shall be transferred to research organizations, environmental education institutions, or specialized museums for exhibition purposes for preservation education; sold - through auction - to organizations or individuals raising, processing, or trading such species as per law provisions; or destroyed if it is impossible to implement other measures.

Group of species		Measures
		Post-seizure handling of live specimens must include apprioriate care for specimens to avoid the risk of specimen death.
	Live animals	Specimens shall be transferred to the local Forestry Administration after handling for the purpose of care and maintenance. Live specimens shall be handled in the following order of priority:
		✓ Reintroduction of specimens into the natural environment suitable for their living conditions and natural distribution, if specimens are deemed healthy.
		✓ Transfer to animal rescue agencies, zoos, or arboreta if the specimens need to be rescued or eliminated
Forest animals and aquatic species under CITES Appendices I, II	Specimens (dead animals/ animal body parts or products)	If the seized specimens undergoing the handling process are declared to be carrying an infectious disease, destruction is required as per law provisions.  Seized specimens sourced from foreign states shall be handled as follows:  Director of the CITES Management Authority of Vietnam shall consider and decide whether to return specimens back to their countries of origin in the case of speciments of CITES-listed species (for which origin is clearly defined). The cost for caring for, maintaining, and returning the specimens shall be paid by the country of origin.  The specimens shall be seized and handled under provisions of the Vietnamese law if the CITES Management Authority of the origin country fails to provides its response or refuses to receive the specimens within 30 working days from the day on which the written notification from the CITES Management Authority of Vietnam is received.  Specimens that have been seized but not stored in a secured place shall be transferred by the seizure entity to the local Forestry Administration in the case of forest fauna and flora specimens; the provincial-level Fisheries State Management Agency in the case of aquatic species specimens; the rescue agency for live specimens; or the nearest animal and plant quarantine agency, to be handled as per current regulations of the Vietnamese law and CITES regulations.

#### Decree 26:

Group of species		Measures
	Live animals	Exhibits must be immediately handed over to competent authorities for release after receiving judicial assessment. In the case of an injured individual, transfer it to the aquatic species rescue center so that it is nurtured and healed before being released into its natural habitat.
Endangered, precious, and rare aquatic species	Dead animals/ animal products	Transfer to the Vietnam National Museum of Nature or research institute so that it can be used as a specimen for display, research, dissemination, or education; or destroy.
•	Diseased aquatic products, capable of causing dangerous epidemics/ diseases	Destroy immediately, according to the Law on Veterianary Medicine and the Law on Quarantine.

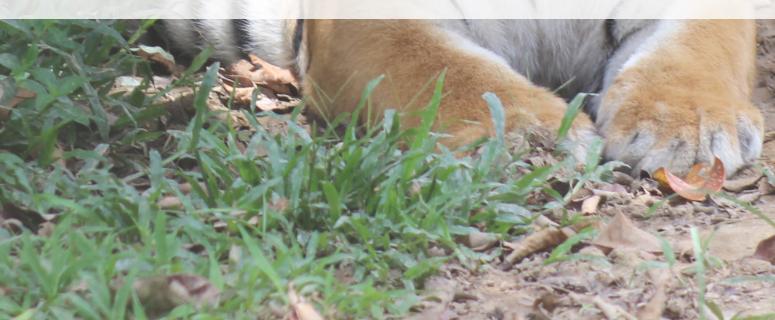
# Circular 29:

Group of species	Measures
All forest animals, including endangered, precious, and rare species and common species (constituting the evidence of the administrative/ criminal violation or transfer)	Implement the forms of handling forest animals in order of priority as follows:  1. Release into nature. 2. Rescue forest animals. 3. Transfer of wildlife to zoological gardens and scientific institutions for research and education, or specialized museum. 4. Auction (only applicable for species allowed for use for commercial purposes). 5. Destroy.  Note: Only implement the latter measure if the former cannot be applied.





# THE APPENDICES



# **APPENDIX 1. LISTED SPECIES AND CORRESPONDING PROTECTION STATUS**

		Vietnamese	Scientific	Category							
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)	
		MAMI	MALS/LỚP THÚ (CLASS	MAMI	MALIA	S)					
			BỘ CÁNH DA (DERMO	PTERA	4)						
1	Sunda colugo/ Sunda flying lemur	Chồn bay/Cầy bay	Galeopterus variegatus/ Cynocephalus variegatus	х					х	LC	
			BỘ LINH TRƯỞNG (PR	IMATE	S)						
2	Common slow loris/ Bengal slow loris	Cu li lớn	Nycticebus bengalensis	х	IB	I			Х	EN	
3	Pygmy slow loris	Cu li nhỏ	Nycticebus pygmaeus	Х	IB	I			Х	EN	
4	Crab-eating macaque/ long- tailed macaque	Khỉ đuôi dài	Macaca fascicularis		IIB	II				VU	
5	Northern pig-tailed macaque	Khỉ đuôi lợn	Macaca leonina		IIB	II				VU	
6	Stump-tailed macaque	Khỉ mặt đỏ	Macaca arctoides		IIB	II				VU	
7	Assamese macaque	Khỉ mốc	Macaca assamensis		IIB	II				NT	
8	Rhesus macaque	Khỉ vàng	Macaca mulatta		IIB	II				LC	
9	Indochinese silvered langur/Indochinese lutung	Voọc bạc đông dương	Trachypithecus germaini/ Trachypithecus villosus	х	IB	II			Х	EN	
10	Annamese silvered langur	Voọc bạc trường sơn	Trachypithecus margarita	х	IB	II			Х	EN	
11	Golden-headed langur/Cat Ba langur	Voọc cát bà/ Voọc đen đầu vàng	Trachypithecus poliocephalus	х	IB	II			Х	CR	
12	Black-shanked douc langur	Voọc chà vá chân đen	Pygathrix nigripes	х	IB	I			Х	CR	
13	Red-shanked douc langur	Voọc chà vá chân nâu/Voọc chà vá chân đỏ	Pygathrix nemaeus	х	IB	I			Х	CR	
14	Grey-shanked douc langur	Voọc chà vá chân xám	Pygathrix cinerea	х	IB	I			Х	CR	
15	Hatinh langur	Voọc đen hà tĩnh/Voọc gáy trắng	Trachypithecus hatinhensis	x	IB	II			х	EN	
16	Francois' langur	Voọc đen má trắng	Trachypithecus francoisi	х	IB	II			х	EN	
17	Delacour's langur	Voọc mông trắng	Trachypithecus delacouri	х	IB	II			х	CR	
18	Tonkin snub-nosed langur/Dollman's snub-nosed monkey	Voọc mũi hếch	Rhinopithecus avunculus	x	IB	I			х	CR	
19	Indochinese grey langur	Voọc xám	Trachypithecus crepusculus	х	IB	II			х		
20	Cao-vit gibbon	Vượn đen tuyền đông bắc/Vượn cao vít	Nomascus nasutus/ Hylobates nasutus	х	ΙΒ	I			х	CR	

			Scientific nomenclature [				Catego	ory		
No.	English name	Vietnamese name		D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
21	Red-cheeked gibbon	Vượn đen má hung/Vượn đen má vàng	Nomascus gabriellae/ Hylobates gabriellae	х	IB	I			х	EN
22	Black-crested gibbon	Vượn đen tuyền tây bắc	Nomascus concolor/ Hylobates concolor	х	IB	I			х	CR
23	Northern white- cheeked gibbon	Vượn má trắng	Nomascus leucogenys/ Hylobates leucogenys	х	IB	I			х	CR
24	Northern buffed- cheeked crested gibbon	Vượn má vàng trung bộ	Nomascus annamensis/Hylobates annamensis	х	IB	l			х	EN
25	Southern white- cheeked gibbon	Vượn siki	Nomascus siki/ Hylobates siki	х	IB	1			Х	CR
			BỘ THÚ ĂN THỊT (CAR	NIVOR	A)					
26	Clouded leopard	Báo gấm	Neofelis nebulosa	х	IB	I			х	VU
27	Leopard	Báo hoa mai	Panthera pardus	х	IB	I			х	VU
28	Asian golden cat	Beo lửa/Beo vàng/Báo lửa	Catopuma temminckii	Х	IB	I			х	NT
29	Red fox	Cáo lửa	Vulpes vulpes		IIB	III				LC
30	Spotted linsang	Cầy gấm	Prionodon pardicolor	Х	IB	I			Х	LC
31	Large Indian civet	Cầy giông	Viverra zibetha		IIB	III				LC
32	(Malabar) large- spotted Civet	Cầy giông đốm lớn	Viverra megaspila	Х	IIB	III				EN
33	Small Indian civet	Cầy hương	Viverricula indica		IIB	III				LC
34	Javan mongoose	Cầy lỏn tranh	Herpestes javanicus			III				LC
35	Crab-eating mongoose	Cầy móc cua	Herpestes urva			III				LC
36	Binturong (Asian/ Palawan bearcat)	Cầy mực/Cầy đen	Arctictis binturong	х	IB	III			х	VU
37	Small-toothed palm civet	Cầy tai trắng	Arctogalidia trivirgata		IIB					LC
38	Owston's civet	Cầy vằn bắc	Chrotogale owstoni	Х	IIB					EN
39	Common palm civet	Cầy vòi hương	Paradoxurus hermaphroditus		IIB	III				LC
40	Masked palm civet	Cầy vòi mốc	Paguma larvata		IIB	III				LC
41	Golden jackal	Chó rừng	Canis aureus		IIB	III				LC
42	Dhole (Asiatic wild dog)	Chó sói lửa/Sói đỏ	Cuon alpinus	х	IB	II			х	EN
43	Malayan sun bear	Gấu chó	Ursus malayanus/ Helarctos malayanus	х	IB	I			х	VU
44	Asiatic black bear	Gấu ngựa	Ursus thibetanus/ Selenarctos thibetanus	х	IB	I			х	VU
45	Tiger	Hổ	Panthera tigris	х		I			х	EN
46	Indochinese tiger	Hổ đông dương	Panthera tigris corbetti	х	IB	I			Х	EN
47	Fishing cat	Mèo cá	Prionailurus viverrinus	Х	IB	II			х	VU
48	Marbled cat	Mèo gấm	Pardofelis marmorata	х	IB	ı			х	NT
49	Jungle cat	Mèo ri	Felis chaus		IIB	II				LC
50	Leopard cat	Mèo rừng	Prionailurus bengalensis		IIB	1&11			х	LC
51	Hairy-nosed otter	Rái cá lông mũi	Lutra sumatrana	х	IB	II			х	EN

				Category						
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
52	Smooth-coated otter	Rái cá lông mượt	Lutrogale perspicillata	х	IB	I			х	VU
53	Eurasian otter	Rái cá thường	Lutra lutra	х	IB	I			Х	NT
54	Asian small-clawed otter	Rái cá vuốt bé	Aonyx cinereus/Aonyx cinerea	Х	IB	I			Х	VU
55	Stripe-backed Weasel	Triết chỉ lưng	Mustela strigidorsa		IIB					LC
			BỘ CÓ VÒI (PROBOS	CIDEA	)					
56	Asian elephant	Voi châu á	Elephas maximus	Х	IB	I			Х	EN
57	African elephant	Voi châu phi	Loxodonta africana			1&11			Х	VU
		BỘ MÓI	NG GUỐC NGÓN LỀ <i>(PE</i>	RISSO	DACT	YLA)				
58	Indian rhinoceros	Tê giác ấn độ	Rhinoceros unicornis			ı			х	VU
59	Black rhinoceros	Tê giác đen	Diceros bicornis			Į			Х	CR
60	Sumatran rhinoceros	Tê giác hai sừng	Dicerorhinus sumatrensis			I			х	CR
61	Javan rhinoceros	Tê giác một sừng	Rhinoceros sondaicus		IB	I			х	CR
62	Javan rhinoceros	Tê giác một sừng	Rhinoceros sondaicus annamiticus	х	IB	I			Х	CR
63	White rhinoceros	Tê giác trắng	Ceratotherium simum			1811			Х	NT
		BỘ MÓN	IG GUỐC NGÓN CHẪN (	ARTIC	DACT	YLA)				
64	Banteng	Bò rừng	Bos javanicus	х	IB				Х	EN
65	Gaur	Bò tót	Bos gaurus	х	IB	I			Х	VU
66	Kouprey	Bò xám	Bos sauveli	Х		I			Х	CR
67	Javan chevrotain	Cheo cheo	Tragulus javanicus		IIB					DD
68	Ganges hog deer/ Indochinese hog deer/Thai hog deer	Hươu vàng	Axis porcinus annamiticus	х	IB	Ι			х	EN
69	Hog deer	Hươu vàng	Axis porcinus	х		I&III			Х	EN
70	Dwarf musk deer/ Chinese forest musk deer	Ниоч ха	Moschus berezovskii	х	IB	II			Х	EN
71	Large-antlered muntjac	Mang lớn	Muntiacus vuquangensis/ Megamuntiacus vuquangensis/ Muntiacus vuquanghensis	х	IB	ı			х	CR
72	Puhoat muntjac	Mang pù hoạt	Muntiacus puhoatensis/Muntiacus rooseveltorum		IIB					DD
73	Truong Son muntjac/Annamite muntjac	Mang trường sơn	Muntiacus truongsonensis	х	IB				х	DD
74	Sambar deer	Nai	Rusa unicolor		IIB					VU
75	Eld's deer	Nai cà tông (tong)	Rucervus eldii	х	IB	I			х	EN
76	Saola/Vu Quang ox	Sao la	Pseudoryx nghetinhensis	Х	IB	I			Х	CR

No.   English name   Vietnamese name   Scientific nomenclature   De4   Do6   CITES   D26   Animals   Long Invest								Catego	ory		
Transmission	No.	English name			D64	D06	CITES	D26	animal	on Invest-	
Indian pangolin/ Indian pangolin/ Indian pangolin/ Indian pangolin   Te te ân do/Te te dudi day   Manis tricuspis/ pangolin   Te te ay bung   Phataginus tricuspis   I   X   EN   Terminok's pangolin   Te te day bung   Phataginus tricuspis   I   X   VU   X   VU   Phataginus tricuspis   I   X   VU   X   VU   X   VU   X   VU   X   X   VU   X   X   VU   X   X   X   VU   X   X   X   VU   X   X   X   X   X   X   X   X   X	77		Sơn dương	milneedwardsii/ Capricornis	х	IB	I			х	VU
White-bellied pangolin   Tê tê cây bung   Manis tricuspiss   I				BỘ TÊ TÊ (PHOLID	OTA)						
Temminck's pangolin	78			Manis crassicaudata			ı			х	EN
Balock-bellied   Balock-bellied   Balock-bellied   Pangolin   Tê tê duôi dâi   Manis tetradactyla/ Phataginus tetradactyla/ Phataginus tetradactyla/ Phataginus tetradactyla   I	79						I			Х	EN
Pangolin/long-tailed pangolin   Tê tê duôi dâi   Manis teradactyla	80	pangolin/ ground	Tê tê đất				I			Х	VU
Malayan pangolin  Te te hava  Manis givantea  X IB I X CR  Samusia gigantea/Manis gigantea  X IB I X CR  Samusia gigantea/Manis gigantea  X IB I X CR  X EN  Samusia gigantea/Manis gigantea  Manis culionensis  Te te palawan/ Philippine pangolin Te te vang  Manis culionensis  Te te philippine  Manis culionensis  Te te philippine  Manis culionensis  IIB I X CR  X CR  BQ THO RÜNG (LAGOMORPHA)  86 Siamese hare/ Chinese hare  Thô rừng  Lepus sinensis  IIB I X EN  EN  BQ THO RÜNG (LAGOMORPHA)  87 Annamite striped Thổ rừng  Lepus sinensis  IIB II X EN  EN  BQ GĀM NHÁM (RODENTIA)  88 Laditian rock rat/ kha-nyou  Manis culionensis  IIB II X EN  EN  BQ GĀM NHÁM (RODENTIA)  89 Red giant flying squirrel  Sóc bay trâu Petaurista petaurista  IIB II I I NT  LC  BATS/BQ DOI (CHIROPTERA)  91 Giant flying fox / I arge flying-fox Dori ngưa nhỏ Pteropus vampyrus  IIB II NT  NT  DOLPHINS, PORPOISES, WHALES/BQ CÁ VOI (CETACEA)  Chinese white dolphin/Indo-Pacific heo không vây trắng Cá heo váy trắng Cá heo không vây)  Placenaic Red Gang Red Cá ngư (Cá heo không vây)  Necenaic Red Gang Red II PE X VU  PE X VU  95 (Indo-Pacific) finless porpoise  Cá ông sự (Cá heo không vây)  Placenaic Red Gang Red Cá ngư (Cá heo không vây)  Placenaic Red Gang Re	81	pangolin/long-tailed	Tê tê đuôi dài				I			Х	VU
pangolin le te kritorig lo gigantea la	82		Tê tê java	Manis javanica	х	IB	I			Х	CR
Philippine pangolin   Tê tê philipine   Manis cullonensis   1	83		Tê tê khổng lồ				I			Х	EN
BỘ THỔ RỮNG (LAGOMORPHA)  86 Siamese hare/ Chinese hare  77 Chinese hare  87 Annamite striped rabbit  88 Laotian rock rat/ kha-nyou  88 Laotian rock rat/ kha-nyou  89 Red giant flying Sóc bay trâu  90 Black giant squirrel  91 Giant flying fox / large flying-fox  92 Lyle's flying fox  Dori ngựa nhỏ  Pteropus lylei  93 Baiji  Cấa heo nước ngọt vày trắng/ Cá heo trắng trung hoa  Cố học trắng  Cốa heo vày trắng  Sousa chinensis  X IIB  IIB  IIB  IIB  IIIB	84		l '	Manis culionensis			I			х	CR
Siamese hare/ Chinese hare   Thổ rừng   Lepus sinensis   IIB     LC	85	Chinese pangolin	Tê tê vàng	Manis pentadactyla	х	IB	I			х	CR
Chinese hare Ino rung Lepus sinensis IIB				BỘ THỔ RỪNG (LAGON	<i>IORPH</i>	IA)					
BỘ GẬM NHÂM (RODENTIA)  88 Laotian rock rat/ kha-nyou núi lào Laonastes aenigmamus IIB Laotagiar flying squirrel Sóc bay trâu Petaurista petaurista IIB II NT  89 Black giant squirrel Sóc den Ratufa bicolor IIB II NT  80 Black giant flying fox / large flying-fox Dori ngựa lớn Pteropus vampyrus IIB II NT  80 DOLPHINS, PORPOISES, WHALES/BỘ CÁ VOI (CETACEA)  80 Baiji Câi heo nước ngọt vây trắng/Cá heo vây trắng Cá heo vây trắng lưng hoa chinensis X II PE X VU  80 Black (Indo-Pacific) finless porpoise Cá ông sư (Cá heo không vây) Phocaenoides II PE X VU	86		Thỏ rừng	Lepus sinensis		IIB					LC
Red giant flying squirrel   Sóc bay trâu   Petaurista petaurista   IIB   II   II   NT	87		Thỏ vằn	Nesolagus timminsi	х	IB				Х	EN
Red giant flying squirrel   Sóc bay trâu   Petaurista petaurista   IIB   II				BỘ GẶM NHẨM <i>(ROD</i>	ENTIA	)					
90 Black giant squirrel Sóc den Ratufa bicolor IIB II NT  BATS/BÓ DO'I (CHIROPTERA)  91 Giant flying fox / large flying-fox Do'i ngựa lớn Pteropus vampyrus IIB II NT  92 Lyle's flying fox Doi ngựa nhỏ Pteropus lylei IIB II VU  DOLPHINS, PORPOISES, WHALES/BỘ CÁ VOI (CETACEA)  93 Baiji Chinese white dolphin/Indo-Pacific humpbacked dolphin  94 Chinese white dolphin Cá heo trắng trung hoa  Sousa chinensis X I PE X VU  95 (Indo-Pacific) finless porpoise Cá ông sư (Cá heo không vây) phocaenoides	88			Laonastes aenigmamus		IIB					LC
BATS/BỘ ĐƠI (CHIROPTERA)  91 Giant flying fox / large flying-fox  Dơi ngựa lớn  Pteropus vampyrus  IIB  II  VU  DOLPHINS, PORPOISES, WHALES/BỘ CÁ VOI (CETACEA)  93 Baiji  Cá heo nước ngọt vậy trắng/ Cá heo vậy trắng/ Cá heo vậy trắng  Chinese white dolphin/Indo-Pacific humpbacked dolphin  Pteropus lylei  IIB  II  VU  PE  X  CR  CR  CA heo trắng trung hoa  Sousa chinensis  X  I  PE  X  VU  PE  X  VU  PE  X  VU	89		Sóc bay trâu	Petaurista petaurista		IIB					LC
Giant flying fox / large flying-fox   Doi ngựa lớn   Pteropus vampyrus   IIB   II   NT     92   Lyle's flying fox   Doi ngựa nhỏ   Pteropus lylei   IIB   II   VU	90	Black giant squirrel	Sóc đen	Ratufa bicolor		IIB	II				NT
92 Lyle's flying fox Doi ngựa nhỏ Pteropus lylei IIB II VU  DOLPHINS, PORPOISES, WHALES/BỘ CÁ VOI (CETACEA)  93 Baiji Chinese white dolphin/Indo-Pacific humpbacked dolphin  95 (Indo-Pacific) finless porpoise  Cá ông sư (Cá heo không vây)  Cá heo không vây)				BATS/BỘ DƠI (CHIRO	PTER/	1)					
Baiji Cá heo nước ngọt vây trắng/ Cá heo vây trắng/ Cá heo vây trắng Lipotes vexillifer I PE X CR  Chinese white dolphin/Indo-Pacific humpbacked dolphin Cá heo không vây)  (Indo-Pacific) finless porpoise  Cá ông sư (Cá Neophocaena phocaenoides	91		Dơi ngựa lớn	Pteropus vampyrus		IIB	II				NT
93 Baiji Cá heo nước ngọt vây trắng/ Cá heo vây trắng/ Cá heo vây trắng/ Cá heo vây trắng/ Cá heo vây trắng Sousa chinensis x I x VU  94 Chinese white dolphin/Indo-Pacific humpbacked dolphin  95 (Indo-Pacific) finless porpoise  Cá ông sư (Cá heo không vây)  Neophocaena phocaenoides	92	Lyle's flying fox	Dơi ngựa nhỏ	Pteropus lylei		IIB	II				VU
93 Baiji ngọt vây trắng/ Cá heo vây trắng/ Lipotes vexillifer I PE x CR  Chinese white dolphin/Indo-Pacific humpbacked dolphin  94 (Indo-Pacific) finless porpoise  Cá ông sư (Cá heo không vây)  Neophocaena phocaenoides			DOLPHINS, F	PORPOISES, WHALES/	BỘ CÁ	VOI (	CETACEA	)			
94 dolphin/Indo-Pacific humpbacked trung hoa  95 (Indo-Pacific) finless porpoise  Od ông sử (Cá heo trắng trung hoa  Sousa chinensis  X  I  X  VU  YU  PE  X  VU  YU	93	Ваіјі	ngọt vây trắng/	Lipotes vexillifer			I		PE	х	CR
porpoise heo không vây) phocaenoides	94	dolphin/Indo-Pacific humpbacked		Sousa chinensis	х		I			х	VU
96 Balaenoptera genus Chi cá voi Balaenoptera I & II PE x	95	,	- '	· ·			I		PE	х	VU
	96	Balaenoptera genus	Chi cá voi	Balaenoptera			1&11		PE	х	

							Catego	ory		
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
97	Delphinidae family (dolphins) (including all species, except Chinese white dolphin)	Họ cá heo biển (tất cả các loài, trừ cá heo trắng trung hoa - Sousa chinensis)	Delphinidae			1 & 11	I	PE	х	
98	Phocoenidae/ porpoise family	Họ cá heo chuột (tất cả các loài)	Phocoenidae			I & II	I		х	
99	River dolphin family	Họ cá heo nước ngọt (tất cả các loài)	Platanistidae			I	I		х	
100	Balaenopteridae family/ rorquals	Họ cá voi lưng gù (tất cả các loài)	Balaenopteridae			1 & 11	I		х	
101	Ziphiidae/ beaked whale family	Họ cá voi mõm khoằm (tất cả các loài)	Ziphiidae			1 & 11	I		х	
102	Physeteridae/ sperm whale family	Họ cá voi nhỏ (tất cả các loài)	Physeteridae			I & II	I		х	
	,	,	EA COWS/BỘ BÒ BIỂN	(SIRE	NIA)					
103	Dugong(sea cow)	Bò biển/Cá nàng tiên/ Cá cúi	Dugong dugon	х		I		PE	х	VU
			BIRDS/LỚP CHIM (CLA	SS AVI	ES)					
		В	O BÒ NÔNG (PELECAN	IFORN	IES)					
104	White-shouldered ibis	(Cò) Quắm cánh xanh	Pseudibis davisoni	х	IB				Х	CR
105	Giant ibis	(Cò) Quắm lớn	Thaumatibis gigantea	х	IB				Х	CR
106	Spot-billed pelican/ Dalmatian pelican	Bồ nông chân xám	Pelecanus philippensis/ Pelecanus philippensis crispus	x	IB	I			х	NT
107	Black-faced spoonbill	Cò mỏ thìa/Cò thìa	Platalea minor	х	IB				Х	EN
108	Black-headed ibis	Cò quăm đầu đen	Threskiornis melanocephalus		IIB					NT
109	Chinese egret	Cò trắng trung quốc	Egretta eulophotes	х	IB				х	VU
110	White-eared night- heron	Vạc hoa	Gorsachius magnificus	х	IB				х	EN
			BỘ CỔ RẮN (SULIFO	RMES)	)					
111	Oriental darter	Chim cổ rắn / Điêng điểng	Anhinga melanogaster	х	IB				Х	NT
			BỘ HẠC (CICONIFO	RMES)			ı			ì
112	Greater adjutant	Già đẫy lớn	Leptoptilos dubius		IIB					EN
113	Lesser adjutant	Già đẫy nhỏ	Leptoptilos javanicus	Х	IB				х	VU
114	Asian woollyneck	Hạc cổ trắng	Ciconia episcopus	Х	IB				х	NT
115	Black stork	Hạc đen	Ciconia nigra		IIB	II				LC
116	Milky stork	Hạc xám	Mycteria cinerea		IB	I			х	EN
			BỘ ƯNG (ACCIPITRIF	ORMES	S)					
117	Accipitriformes order (except for Group IB)	Các loài trong bộ Ưng (trừ các loài đã liệt kê trong nhóm IB)	Accipitriformes spp.		IIB					

							Catego	ory		
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
118	Eastern imperial eagle	Đại bàng đầu nâu	Aquila heliaca		IB	I			х	VU
119	Indian vulture	Kền kền ấn độ	Gyps indicus		IB	II			Х	CR
120	White-rumped vulture	Kền kền ben gan	Gyps bengalensis		IB	Ш			Х	CR
121	Red-headed vulture	Ó tai	Sarcogyps calvus		IIB	Ш				CR
	E	AGLES, FALCON	S, HAWKS, VULTURES	/BỘ C	ÁΤ <i>(FA</i>	LCONIFO	RMES)			
122	Falconiformes species (Except peregrine falcon (Falco peregrinus) in Group IB)	Các loài trong bộ Cắt (trừ loài cắt lớn (Falco peregrinus) ở Nhóm IB)	Falconiformes spp.		IIB	I, II & III			х	
123	Peregrine falcon	Cắt lớn	Falco peregrinus		IB	I			Х	LC
			BỘ CHOẮT <i>(CHARADRII</i>	FORM	ES)					
124	Spotted greenshank	Choắt lớn mỏ vàng	Tringa guttifer	х	IB	I			Х	EN
125	Spoon-billed sandpiper	Rẽ mỏ thìa	Calidris pygmaea/ Calidris pygmeus	х	IIB					CR
			BỘ NGỐNG (ANSERIF	ORME	S)					_
126	White-winged duck	Ngan cánh trắng	Asarcornis scutulata/ Cairina scutulata	х	IB	I			х	EN
127	Baer's pochard	Vịt đầu đen	Aythya baeri		IIB					CR
128	Scaly-sided merganser	Vịt mỏ nhọn	Mergus squamatus		IIB					EN
			BỘ GÀ (GALLIFOR	MES)						
129	Arborophila genus (except orange- necked partridge (Arborophila davidi) on Group IB)	Các loài Gà so thuộc giống Arborophila (trừ loài Gà so cổ hung (Arborophila davidi) ở Nhóm IB)	Arborophila spp.		IIB					
130	Green peafowl	Công	Pavo muticus	х	IIB	Ш				EN
131	Vietnam pheasant/ Edwards's pheasant	Gà lôi lam mào trắng	Lophura edwardsi	х	IB	I			х	CR
132	Temminck's tragopan	Gà lôi tía	Tragopan temminckii	х	IB				х	LC
133	Silver pheasant	Gà lôi trắng	Lophura nycthemera		IB				х	LC
134	Orange-necked partridge	Gà so cổ hung	Arborophila davidi	х	IB				Х	NT
135	Germain's peacock- pheasant	Gà tiền mặt đỏ	Polyplectron germaini	х	IB	Ш			х	NT
136	Grey peacock- pheasant	Gà tiền mặt vàng	Polyplectron bicalcaratum	х	IB	Ш			Х	LC
137	Crested argus	Trĩ sao	Rheinardia ocellata	х	IB	I			х	EN
			BỘ SẾU <i>(GRUIFOR)</i>	MES)						
138	Masked finfoot	Chân bơi	Heliopais personatus		IIB					EN
139	Sarus crane	Sếu đầu đỏ/Sếu cổ trụi	Grus antigone	х	IB	II			х	VU

			Scientific -	Category						
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
			BỘ Ô TÁC (OTIDIFOI	RMES)						
140	Bengal florican	Ô tác	Houbaropsis bengalensis	х	IB	I			х	CR
			BỘ BỒ CÂU <i>(COLUMBII</i>	FORM	ES)					
141	Pale-capped pigeon	Bồ câu nâu	Columba punicea		IIB					VU
142	Nicobar pigeon	Bồ câu ni cô ba	Caloenas nicobarica		IB	I			х	NT
			BỘ SẢ (CORACIIFO	RMES)						
143	Bucerotidae family (except Group IB species)	Các loài trong họ Hồng hoàng (trừ các loài thuộc Nhóm IB)	Bucerotidae spp.		IIB	1&11				
144	Great hornbill	Hồng hoàng	Buceros bicornis	х	IB	I			х	VU
145	Rufous-necked hornbill	Niệc cổ hung	Aceros nipalensis	х	IB	I			х	VU
146	Wreathed hornbill	Niệc mỏ vằn	Rhyticeros undulatus/ Aceros undulatus	х	IB	II			х	VU
147	Austen's brown hornbill	Niệc nâu	Anorrhinus austeni	х	IB	П			х	NT
			BỘ SỂ (PASSERIFO	RMES)						
148	Garrulax genus	Các loài thuộc giống Garrulax	Garrulax spp.		IIB					
149	Pitta genus	Các loài thuộc giống Pitta	Pitta spp.		IIB					
150	Collared laughingthrush	Khướu đầu đen má xám	Trochalopteron yersini		IIB					EN
151	Golden-winged laughingthrush	Khướu ngọc linh	Trochalopteron ngoclinhense/ Garrulax ngoclinhensis	х	IB				х	EN
152	Red-billed leiothrix	Kim oanh mỏ đỏ	Leiothrix lutea		IIB	II				LC
153	Silver-eared mesia	Kim oanh tai bạc	Leiothrix argentauris		IIB	II				LC
154	Grey-crowned crocias	Mi núi bà	Laniellus langbianis		IIB					EN
155	Common hill myna	Nhồng (Yểng)	Gracula religiosa		IIB	II				LC
156	Yellow-breasted bunting	Sẻ đồng ngực vàng	Emberiza aureola		IIB					CR
AMA	AZONS, COCKATO	OS, LORIES, LOR	IKEETS, MACAWS, PAR	RAKE	ETS, P	ARROTS/	BỘ V	ĘТ <i>(PSITT</i>	ACIFOR	MES)
157	Strigiformes order	Các loài trong bộ Cú Strigiformes	Strigiformes spp.		IIB	1&11				
158	Psittacula genus	Các loài Vẹt thuộc giống Psittacula	<i>Psittacula</i> spp.		IIB	I & II (Except for the species Psittacula krameri which is not included in the CITES Appen- dix)				
159	Vernal hanging- parrot	Vẹt lùn	Loriculus vernalis		IIB	П				LC

			Colontific	Category						
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
		CLAS	SS REPTILIA/LỚP BÒ SÁ	T (RE	PTILE	S)				
			BỘ CÓ VÀY (SQUAN	(ATA)		,				
160	Goniurosaurus genus	Các loài Thạch sùng mí thuộc giống Goniurosaurus	Goniurosaurus spp.		IIB	II (except Japa- nese native species)				
161	Asian water monitor	Kỳ đà hoa	Varanus salvator		IIB	II			Х	LC
162	Bengal monitor	Kỳ đà vân/Kỳ đà núi	Varanus nebulosus/ Varanus bengalensis		IB	I			х	LC
163	King cobra	Rắn hổ chúa	Ophiophagus hannah	Х	IB	II			х	VU
164	Monocellate cobra/ monocled cobra	Rắn hổ mang một mắt kính	Naja kaouthia		IIB	II				LC
165	Chinese cobra	Rắn hổ mang trung quốc	Naja atra		IIB	II				VU
166	Black and white spitting cobra/ Indochinese spitting cobra	Rắn hổ mang xiêm	Naja siamensis		IIB	II				VU
167	Oriental rat snake	Rắn ráo trâu	Ptyas mucosus		IIB	П				
168	Psychedelic rock gecko	Tắc kè đuôi vàng	Cnemaspis psychedelica	х	IB	I			х	EN
169	Tokay gecko	Tắc kè hoa	Gekko gecko			II				LC
170	Chinese crocodile lizard	Thằn lằn cá sấu	Shinisaurus crocodilurus	х	IB	I			Х	EN
171	Blood python	Trăn cộc	Python curtus/ Python brongersmai		IIB	Ш				LC
172	Burmese python	Trăn đất	Python molurus/Python bivittatus		IIB	1811			Х	VU
173	Reticulated python	Trăn gấm	Python reticulatus/ Malayopython reticulatus		IIB	II				LC
			BỘ RÙA (TESTUDII	VES)						
174	Wattle-necked soft- shell turtle	Ba ba gai	Palea steindachneri		IIB	II				EN
175	Asiatic soft-shell turtle	Cua đinh/ Ba ba nam bộ	Amyda cartilaginea		IIB	II				VU
176	Hawksbill turtle	Đồi mồi	Eretmochelys imbricata	Х		I		PE	х	CR
177	Olive ridley sea turtle	Đồi mồi dứa	Lepidochelys olivacea	Х		I		PE	Х	VU
178	Asian giant soft-shell turtle	Giải (khổng lồ)	Pelochelys cantorii	Х	IB	II		PE	х	EN
179	Yangtze giant softshell turtle	Giải sin-hoe/Giải thượng hải	Rafetus swinhoei	Х	IB	II		PE	Х	CR
180	Spotted pond turtle	Rùa ánh sao đêm	Geoclemys hamiltonii			I				EN
181	Mekong snail-eating turtle	Rùa ba gờ	Malayemys subtrijuga		IIB	II				VU
182	Southern river terrapin	Rùa ba-ta-gua miền nam	Batagur affinis		IB	I			х	CR

							Catego	ory		
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
183	Loggerhead turtle	Rùa biển đầu to/ Quản đồng	Caretta caretta	х		I		PE	х	VU
184	Four-eyed turtle	Rùa bốn mắt	Sacalia quadriocellata		IIB	Ш				EN
185	Radiated tortoise	Rùa bức xạ/Rùa phóng xạ	Astrochelys radiata			I			х	CR
186	Yellow pond turtle	Rùa câm	Mauremys mutica		IIB	II				EN
187	Black marsh turtle	Rùa cổ bự	Siebenrockiella crassicollis		IIB	Ш				VU
188	Leatherback	Rùa da	Dermochelys coriacea	х		I		PE	х	VU
189	Chinese red-necked turtle	Rùa đầm cổ đỏ	Mauremys nigricans		IIB	II				EN
190	Asian leaf turtle	Rùa đất châu á	Cyclemys dentata		IIB	II				NT
191	Giant asian pond turtle	Rùa đất lớn	Heosemys grandis		IIB	Ш				VU
192	Eastern black- bridged leaf turtle	Rùa đất pul-kin	Cyclemys pulchristriata		IIB	Ш				
193	Southeast Asian leaf turtle	Rùa đất sê-pôn/ Rùa đất âu-ham	Cyclemys oldhamii		IIB	II				
194	Black-breasted leaf turtle	Rùa đất speng- lơ	Geoemyda spengleri		IIB	II				EN
195	Big-headed turtle	Rùa đầu to	Platysternon megacephalum	х	IB	I		PE	х	EN
196	Chinese three- striped box turtle	Rùa hộp ba vạch/Rùa đẹp/ Rùa vàng	Cuora cyclornata/Cuora trifasciata	х	IIB	II		PE	х	CR
197	Asian box turtle	Rùa hộp lưng đen	Cuora amboinensis		IIB	Ш				EN
198	Indochinese box turtle	Rùa hộp trán vàng miền bắc	Cuora galbinifrons	х	IIB	Ш		PE	Х	CR
199	Southern Vietnamese box turtle	Rùa hộp trán vàng miền nam	Cuora picturata	х	IB	I			Х	CR
200	Indochinese box turtle/Bourret's box turtle	Rùa hộp bua-rê/ Rùa hộp trán vàng miền trung	Cuora bourreti	х	IB	I			х	CR
201	Elongated tortoise	Rùa núi vàng	Indotestudo elongata		IIB	Ш				CR
202	Impressed tortoise	Rùa núi viền	Manouria impressa		IIB	Ш				VU
203	Yellow-headed temple turtle	Rùa răng	Heosemys annandalii		IIB	Ш				EN
204	Keeled box turtle	Rùa sa nhân	Cuora mouhotii		IIB	=				EN
205	Indian star tortoise	Rùa sao Ấn Độ	Geochelone elegans			I				VU
206	Burmese star tortoise	Rùa sao Miến Điện	Geochelone platynota			I				CR
207	Vietnamese pond turtle	Rùa trung bộ	Mauremys annamensis	Х	IB	I		PE	Х	CR
208	Green turtle	Vích	Chelonia mydas	х		I		PE	х	EN

						,	Catego	ory		
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
	,	ALLIGATORS, CA	IMANS, CROCODILES/	BỘ CÁ	SÁU	(CROCOD	YLIA)			
209	Saltwater crocodile	Cá sấu nước lợ/ Cá sấu hoa cà	Crocodylus porosus		IB	I & II			х	LC
210	Siamese crocodile	Cá sấu nước ngọt/Cá sấu xiêm	Crocodylus siamensis		ΙB	I			Х	CR
		AMP	HIBIANS/LỚP LƯỚNG C	ປ <i> (AM</i>	PHIBI	4)				
			BỘ CÓ ĐUÔI (CAUD	DATA)						
211	Vietnam warty newt	Cá cóc bụng hoa/ Cá cóc tam đảo	Paramesotriton deloustali		IIB	Ш		PE		LC
212	Tylototriton genus	Các loài Cá cóc thuộc giống Tylototriton	Tylototriton spp.		IIB	II				
		INSEC	TS/LỚP CÔN TRÙNG <i>(C</i>	LASS	INSEC	TA)				
			BỘ CÁNH VẢY (LEPIDO	PTER	A)					
213	Common birdwing	Bướm phượng cánh chim chấm liền	Troides helena cerberus		IIB	Ш				LC
214	Golden birdwing	Bướm phượng cánh chim chấm rời	Troides aeacus aeacus		IIB	II				LC
215	Golden kaiserihind	Bướm phượng đuôi kiếm răng nhọn	Teinopalpus aureus aureus		IIB	II				DD
216	Swallowtail butterfly	Bướm phượng đuôi kiếm răng tù	Teinopalpus imperialis imperialis		IIB	II				NT
			BỘ CÁNH CỨNG (COLE	OPTE	RA)					
217	Long arm beetle with dark green elytra	Cua bay đen	Cheirotonus jansoni		IIB					
218	Long arm beetle	Cua bay việt nam	Cheirotonus battareli		IIB					
	CÁ (BA	O GÒM NHIỀU LÓ	P KHÁC NHAU)/ <i>FISH (I</i> I	NCLUE	DING D	IFFEREN	T CLA	SSES)		
		BONY FIS	H/(LIÊN) LỚP CÁ XƯƠN	G (OS	TEICH	THYES)				
219	Semilabeo notabilis	Cá anh vũ	Semilabeo notabilis				ı	C*1	Х	DD
220	Bocourt's catfish	Cá ba sa	Pangasius bocourti					C*2		LC
221	Spotted algae eater	Cá bám đá	Gyrinocheilus pennocki				ı		Х	LC
222	Giant humphead wrasse	Cá bàng chài vân sóng/Cá sú mì	Cheilinus undulatus			II	I		х	EN
223	Chinese phoenix barb	Cá bỗng	Spinibarbus denticulatus				II			LC
224	Blackbanded trevally	Cá cam vân/ Cá cu/Cá bè/Cá cam sọc đen	Seriolina nigrofasciata					C*1		LC
225	Pocket-like lip barbell	Cá cầy	Paraspinibarbus macracanthus				II			DD
226	Tire track eel	Cá chạch bông/ Cá chạch lâu	Mastacembelus favus					C*1		LC
227	Spiny eel/zig-zag eel	Cá chạch sông	Mastacembelus armatus					C*1		LC

					Category					
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
228	Northern ladyfish	Cá cháo biển	Elops saurus				II			LC
229	Indo-Pacific tarpon	Cá cháo lớn	Megalops cyprinoides				II			DD
230	Reeve's shad	Cá cháy (bắc)	Tenualosa reevesii				ı	PE	х	DD
231	Spinibarbus hollandi	Cá chày đất	Spinibarbus hollandi				II			DD
232	Ochelobius elongatus (Cyprinidae)	Cá chày tràng	Ochelobius elongatus					PE		
233	Hoven's carp	Cá chày/Cá chài	Leptobarbus hoevenii					C*1		LC
234	Butter catfish	Cá chen bầu/Cá trèn bầu	Ompok bimaculatus				I	PE	Х	NT
235	Chinese-ink carp	Cá chép gốc	Procypris merus				ı	PE	х	DD
236	Knightfish	Cá chìa vôi	Proteracanthus sarissophorus					C*1		
237	Bagarius rutilus	Cá chiên	Bagarius rutilus				II	C*1		DD
238	Giant devil catfish/ goonch	Cá chiên bạc	Bagarius yarrelli				II			VU
239	Marbled eel	Cá chình hoa	Anguilla marmorata				II	C*1		LC
240	Shortfin eel	Cá chình mun	Anguilla bicolor				I	C*1	х	NT
241	Japanese eel	Cá chình nhật bản	Anguilla japonica				I	C*1	Х	EN
242	Indonesian longfinned eel	Cá chình nhọn	Anguilla borneensis				II	C*1		VU
243	Royal featherback	Cá còm hoa/ Thát lát cườm	Chitala blanci				II			NT
244	Ocellated featherback	Cá còm/Cá nàng hai	Chitala ornata				II			LC
245	Bangana lemassoni/ Sinilabeo lemassoni	Cá dầm (rầm) xanh	Bangana lemassoni/ Sinilabeo lemassoni				II	C*1		DD
246	Bulu barb	Cá dảnh bông	Puntioplites bulu				II			LC
247	Sol ornate ghost pipefish	Cá dao cạo	Solenostomus paradoxus				I		х	LC
248	Little dory	Cá dây lưng gù	Cyttopsis cypho				I		х	
249	Slender shad	Cá đé	llisha elongata				I		х	LC
250	Spiny head croaker	Cá đù đầu lớn	Collichthys lucidus				II			LC
251	Small scaled mud carp	Cá duồng	Cirrhinus microlepis				II			VU
252	Cosmochilus harmandi	Cá duồng bay	Cosmochilus harmandi				II			LC
253	Bronze croaker	Cá đường/Cá sủ giấy	Otolithoides biauritus				I		х	DD
254	Black sharkminnow	Cá ét mọi	Morulius chrysophekadion/ Labeo chrysophekadion				II			LC

				Category		ory	ry			
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
255	Red-tail tinfoil barb	Cá he đỏ	Barbonymus schwanenfeldii				II			LC
256	Tinfoil barb	Cá he vàng	Barbonymus altus				II			LC
257	Giant carp	Cá hô	Catlocarpio siamensis				I	C*1	х	CR
258	Bangana tonkinensis/ Sinilabeo tonkinensis	Cá hỏa	Bangana tonkinensis/ Sinilabeo tonkinensis	Sinilabeo tonkinensis			II	PE		VU
259	Emperor angelfish	Cá hoàng đế	Pomacanthus imperator				ı		Х	LC
260	Siamese bala-shark	Cá học trò	Balantiocheilos ambusticauda				I		Х	CR
261	Finescale tigerfish	Cá hường	Datnioides microlepis				II			LC
262	Silver tiger fish	Cá hường (hồng) vện	Datnioides quadrifasciatus				II			
263	Yellow-spotted sweetlip	Cá kẽm chấm vàng	Plectorhinchus flavomaculatus/ Plectorhynchus flavomaculatus				I		х	
264	Brown sweetlips	Cá kẽm mép vảy đen	Plectorhinchus gibbosus/ Plectorhynchus gibbosus				ı	PE	х	LC
265	AuChinese trumpetfish	Cá kèn trung quốc	Aulostomus chinensis				I		Х	LC
266	Premature floater	Cá kim	Schindleria praematura				II			LC
267	Hemibagrus pluriradiatus	Cá lăng đen	Hemibagrus pluriradiatus				II			LC
268	Spotted longbarbel catfish	Cá lăng/Cá lăng chấm	Hemibagrus guttatus				II	C*1		DD
269	Cyprinus exophthalmus/ Cyprinus exophthalmos	Cá lợ con	Cyprinus exophthalmus/ Cyprinus exophthalmos					C*1		DD
270	Cyprinus hyperdorsalis	Cá lợ thân cao/ Cá lợ	Cyprinus hyperdorsalis				ı	C*1	х	DD
271	Cyprinus multitaeniata	Cá lợ thân thấp	Cyprinus multitaeniata/ Cyprinus multitaeniatus				I	PE	Х	NT
272	Shuttle-like xarp	Cá măng giả	Luciocyprinus langsoni				I	PE	х	VU
273	Milkfish	Cá măng sữa	Chanos chanos				II			LC
274	Yellowcheek	Cá măng/Cá măng đậm	Elopichthys bambusa				II			DD
275	False stonefish	Cá mặt quỷ	Scorpaenopsis diabolus				I		х	LC
276	Ocean sunfish	Cá mặt trăng	Mola mola				ı		х	VU
277	Sharptail mola	Cá mặt trăng đuôi nhọn	Masturus lanceolatus				I		Х	LC
278	Honey sucker	Cá may	Gyrinocheilus aymonieri				I		х	LC

							Catego	ory		
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
279	Yellowfin culter	Cá mè huế/ Cá ngão gù/Cá ngão	Chanodichthys flavipinnis				I	PE	х	DD
280	Green humphead parrotfish	Cá mó đầu u	Bolbometopon muricatum				I		х	VU
281	Konosirus punctatus	Cá mòi cờ chấm	Konosirus punctatus				II			LC
282	Chinese gizzard shad	Cá mòi cờ hoa/ Cá mòi cờ	Clupanodon thrissa				II			LC
283	Bonefish	Cá mòi đường	Albula vulpes				I		х	NT
284	Shortnose gizzard shad	Cá mòi không răng	Anodontostoma chacunda				Ш			LC
285	Bloch's gizzard shad	Cá mòi mõm tròn	Nematalosa nasus				II			LC
286	Bangana behri	Cá mõm trâu	Bangana behri				II			VU
287	Asian arowana	Cá mơn/Cá rồng	Scleropages formosus			I	I		х	EN
288	Leopard coral grouper	Cá mú chấm bé	Plectropomus leopardus				I		х	LC
289	Humpback grouper	Cá mú dẹt	Cromileptes altivelis				ı		х	DD
290	Brown-marbled grouper/ tiger grouper	Cá mú hoa nâu	Epinephelus fuscoguttatus				II			VU
291	Slender grouper	Cá mú sọc trắng	Anyperodon leucogrammicus				ı		х	LC
292	Cranoglanis bouderius/ Cranoglamis bouderius	Cá ngạnh	Cranoglanis bouderius/ Cranoglamis bouderius				II			VU
293	Tor mekongensis	Cá ngựa	Tor mekongensis				II			
294	Folifer (Tor) brevifilis	Cá ngựa Bắc	Folifer (Tor) brevifilis				II	PE		DD
295	Three-spot seahorse	Cá ngựa chấm	Hippocampus trimaculatus			Ш	II			VU
296	Spotted seahorse	Cá ngựa đen	Hippocampus kuda			Ш	II			VU
297	Thorny seahorse	Cá ngựa gai	Hippocampus histrix			II	II			VU
298	Great seahorse	Cá ngựa ken lô/ Cá ngựa thân trắng	Hippocampus kelloggi			II	II	C*1		VU
299	Hampala barb	Cá ngựa nam	Hampala macrolepidota				II			LC
300	Hippocampus mohnikei/ Hippocampus japonicas	Cá ngựa nhật	Hippocampus mohnikei/ Hippocampus japonicus			II	I		х	VU
301	Tiger tail seahorse	Cá ngựa vằn	Hippocampus comes			II		C*1		VU
302	Tor tambroides	Cá ngựa xám	Tor tambroides				II			DD
303	Pterocryptis cucphuongensis	Cá niết cúc phương	Pterocryptis cucphuongensis				I		х	DD

						Catego	ory			
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
304	Pacific jellynose fish	Cá nòng nọc nhật bản	Ateleopus japonicus				I		х	LC
305	Sinilabeo graffeuilli	Cá pạo/Cá mị	Sinilabeo graffeuilli				I	PE/C*1	х	
306	Neolissochilus benasi	Cá rai	Neolissochilus benasi				I		х	DD
307	Onychostoma gerlachi	Cá sỉnh (niên)	Onychostoma gerlachi				II			NT
308	Onychostoma laticeps	Cá sỉnh gai	Onychostoma laticeps				II			
309	Ompok miostoma	Cá sơn đài	Ompok miostoma				ı	PE	х	NT
310	Maori rock cod	Cá song vân giun	Epinephelus undulatostriatus				ı	PE	х	LC
311	Smallscale croaker	Cá sủ	Boesemania microlepis	pesemania microlepis			II			NT
312	Siamese tiger perch	Cá thái hổ	Datnioides pulcher				II			CR
313	Giant featherback	Cá thát lát khổng lồ	Chitala lopis				ı		х	EX
314	Ayu sweetfish	Cá thơm	Plecoglossus altivelis				ı		х	DD
315	Striped catfish	Cá tra	Pangasianodon hypophthalmus					C*2		EN
316	(Mekong) giant catfish	Cá tra dầu	Pangasianodon gigas			I	ı	PE	х	CR
317	Jullien's golden carp	Cá trà sóc	Probarbus jullieni			I	II	C*1	х	CR
318	Great snakehead/ bullseye snakehead	Cá tràu mắt đỏ	Channa marulius					C*1		LC
319	Blackskin catfish	Cá trê tối	Clarias meladerma				ı		х	LC
320	Walking catfish	Cá trê trắng	Clarias batrachus				ı	C*1	х	LC
321	Ompok siluroides	Cá trèn	Ompok siluroides				II			LC
322	Small snakehead	Cá trèo đồi	Channa asiatica				ı		х	LC
323	Acrossocheilus annamensis	Cá trốc	Acrossocheilus annamensis				I		х	
324	Cyprinus dai/ Laichowcypris dai	Cá trữ	Cyprinus dai/ Laichowcypris dai				ı	PE	х	DD
325	Black Amur bream	Cá vền	Megalobrama terminalis				II			
326	Giant pangasius	Cá vồ cờ	Pangasius sanitwongsei				ı	C*2	х	CR
327	Grouper genus	Các loài thuộc Giống/Chi cá mú (Giống/Chi cá song)	Epinephelus					C*3		
			YAN/LỚP CÁ SỤN <i>(CLA</i>	ISS CI	HONDI	RICHTHY		ı	I	
328	Ocellate spot skate	Cá đuối quạt	Okamejei kenojei				ı		Х	DD
329	Bowmouth guitarfish	Cá giống mõm tròn	Rhina ancylostoma				I		х	CR

				Category		ory				
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
330	Silvertip shark	Cá mập đầu bạc	Carcharhinus albimarginatus				ı		х	VU
331	Scalloped hammerhead	Cá mập đầu búa hình vỏ sò	Sphyrna lewini			II	I		х	CR
332	Great hammerhead	Cá mập đầu búa lớn	Sphyrna mokarran			Ш	I		х	CR
333	Smooth hammerhead	Cá mập đầu búa trơn	Sphyrna zygaena			Ш	I		х	VU
334	Oceanic whitetip shark	Cá mập đầu vây trắng	Carcharhinus Iongimanus			II	I		х	CR
335	Blacktip reef shark	Cá mập đốm đen đỉnh đuôi	Carcharhinus melanopterus				ı		х	VU
336	Graceful shark	Cá mập hiền	Carcharhinus amblyrhynchoides				I		х	NT
337	Bull shark	Cá mập lơ cát	Carcharhinus leucas				ı		х	NT
338	Silky shark	Cá mập lụa	Carcharhinus falciformis			Ш	I		х	VU
339	White shark	Cá mập trắng lớn	Carcharodon carcharias			Ш	I		х	VU
340	Japanese swellshark	Cá nhám lông nhung	Cephaloscyllium umbratile				I		х	DD
341	Blackbelly lanternshark	Cá nhám nâu	Etmopterus lucifer				I		х	LC
342	Zebra shark	Cá nhám nhu mì	Stegostoma fasciatum				ı		х	EN
343	Milk shark	Cá nhám răng	Rhizoprionodon acutus				ı		х	VU
344	Porbeagle	Cá nhám thu	Lamna nasus			Ш	ı		х	VU
345	Crocodile shark	Cá nhám thu/Cá mập sấu	Pseudocarcharias kamoharai				ı		х	LC
346	Whale shark	Cá nhám voi	Rhincodon typus			Ш	ı		х	EN
347	Pristidae spp.	Các loài cá đao/ dao	Pristidae spp.			I	ı	PE	х	
348	Mobula sp.	Các loài cá đuối nạng	Mobula spp.			Ш	I		х	
349	Manta sp.	Các loài cá đuối ó mặt quỷ	Manta spp.			Ш	ı		х	
350	Alopias spp.	Các loài cá mập đuôi dài	Alopias spp.			Ш	ı		х	
			NGÀNH THÂN MÈM <i>(MC</i>	LLUS	CA)					
			LỚP HAI MẢNH VỎ (BI	VALVI	<i>A)</i>					
351	Tridacna spp.	Các loài trai tai tượng	Tridacna spp.			II	ı		х	
352	Margaritanopsis laosensis	Trai bầu dục cánh cung	Margaritanopsis laosensis				I		х	EN
353	Gibbosula crassa	Trai cóc dày	Gibbosula crassa				ı	PE	х	CR
354	Lamprotula blaisei	Trai cóc hình lá	Lamprotula blaisei				ı		х	VU
355	Cuneopsis demangei	Trai cóc nhẵn/ Trùng trục ngắn	Cuneopsis demangei				I		х	CR
356	Protunio messageri	Trai cóc vuông	Protunio messageri				ı		х	EN
357	Contradens fultoni	Trai mẫu sơn	Contradens fultoni				ı		Х	
	<u> </u>	<u> </u>		Ц			<u> </u>	L	<u> </u>	

						Catego	ory			
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
358	Black silver pearl oyster	Trai ngọc môi vàng/Trai tai tượng	Pinctada maxima					C*1		
359	Pseudobaphia banggiangensis	Trai sông bằng	Pseudobaphia banggiangensis				I		х	
360	Giant clam	Trai tai tượng khổng lồ	Tridacna gigas			Ш				VU
361	Small giant clam	Trai tai tượng Iớn	Tridacna maxima			Ш		C*1		
362	Fluted giant clam	Trai tai tượng nhỏ	Tridacna squamosa			Ш				
363	Crocea clam	Trai tai tượng vàng nghệ	Tridacna crocea			II		C*1		LC
		ı	- Ó'P CHÂN BỤNG (GAST	ROPO	DA)					
364	Nautilidae spp.	Họ Ốc anh vũ (tất cả các loài)	Nautilidae spp.			II	I		х	
365	Nautilus pompilius	Óс anh vũ	Nautilus pompilius			Ш	I	PE	х	
366	Commercial top shell	Ốc đụn cái	Tectus niloticus/ Trochus niloticus				1	PE	Х	
367	Pyram top shell	ốc đụn đực	Tectus pyramis				1		х	
368	Cremnoconchus messageri	Óc mút vệt nâu	Cremnoconchus messageri				ı		х	EN
369	Eyed cowrie	Ôc sứ mắt trĩ	Arestorides argus/ Cypraea argus				ı	PE	х	
370	Triton's trumpet	Óc tù và	Charonia tritonis				I	PE	х	
371	Green turban	ốc xà cừ xanh	Turbo marmoratus				I	PE	х	
		1	NGÀNH GIÁP XÁC <i>(CRU</i>	STACE	AN)					
372	Land crab	Cua đá/ Cua đá cù lao chàm	Gecarcoidea lalandii				II			
373	Spanner crab	Cua hoàng đế	Ranina ranina				II			
374	Green mud crab	Cua xanh/ Cua sen	Scylla paramamosain					C*1		
375	Ornate spiny lobster	Tôm hùm bông	Panulirus ornatus				II	C*3		LC
376	Scalloped spiny lobster	Tôm hùm đá	Panulirus homarus				II	C*3		LC
377	Longlegged spiny lobster	Tôm hùm đỏ	Panulirus longipes				=	C*3		LC
378	Japanese spear lobster	Tôm hùm kiếm ba góc	Linuparus trigonus				=			LC
379	Palinurellus gundlachi wieneckii	Tôm hùm lông đỏ	Palinurellus gundlachi wieneckii				II			LC
380	Chinese spiny lobster	Tôm hùm lông/ Tôm hùm sỏi/ Tôm hùm mốc	Panulirus stimpsoni					C*3		DD
381	Pronghorn spiny lobster	Tôm hùm ma	Panulirus penicillatus					C*3		LC
382	Mud spiny lobster	Tôm hùm xám/ Tôm hùm tre/ Tôm hùm bùn	Panulirus polyphagus/ Panulirus poliphagus					C*3		LC

		Vistance					Catego	ory		
No.	English name	Vietnamese name	Scientific nomenclature	D64	D06	CITES	D26	Aquatic animal PE/ C	Law on Invest- ment	IUCN (ref.)
383	Painted spiny lobster	Tôm hùm xanh/ Tôm hùm sen/ Tôm hùm vằn	Panulirus versicolor				II	C*3		LC
384	Blunt slipper lobster	Tôm mũ ni đỏ	Scyllarides squammosus					C*3		LC
385	Flathead lobster	Tôm mũ ni trắng/ Tôm vỗ dẹp trắng	Thenus orientalis				II	C*3		LC
386	Japanese fan lobster	Tôm vỗ biển sâu	Ibacus ciliatus				II			DD
387	Sculptured mitten lobster	Tôm vỗ xanh	Parribacus antarcticus				II			LC
			LỚP SAN HÔ (ANTH	OZOA)						
388	Alcyonium sp.	Các loài san hô mềm	Alcyonium sp.					C*1		
389	Asparagus flowery soft coral	Các loài san hô mềm	Nephthea sp.					C*1		
390	Green star polyps	Các loài san hô mềm	Pachyclavularia sp.					C*1		
391	Toadstool leather coral	Các loài san hô mềm	Sarcophyton sp.					C*1		
392	Biohazard bounce mushroom	Các loài san hô nấm mềm	Rhodatis sp.					C*1		
393	Sea anemone	Các loài san hô nấm mềm	Discosoma sp.					C*1		
394	Button zoanthid	Các loài san hô nút áo	Zoanthus sp.					C*1		
395	Stolonifera	Các loài thuộc Bộ san hô cứng	Stolonifera				ı	PE	х	
396	Stony coral	Các loài thuộc Bộ san hô đá	Scleractinia				ı	PE	х	
397	Black coral	Các loài thuộc Bộ san hô đen	Antipatharia				ı	PE	х	
398	Sea fan/horny coral	Các loài thuộc Bộ san hô sừng	Gorgonacea				ı		х	
399	Helioporacea	Các loài thuộc Bộ san hô xanh	Helioporacea				ı	PE	х	
		N	GÀNH DA GAI <i>(ECHINO</i>	DERM	ATA)					
400	Red pencil urchin	Cầu gai đá	Heterocentrotus mammillatus				ı		х	
401	Amber fish (sea cucumber)	Hải sâm hổ phách	Thelenota anax				I		х	DD
402	Prickly redfish	Hải sâm lựu	Thelenota ananas				I		х	EN
403	Surf redfish	Hải sâm mít hoa (Hải sâm dừa)	Actinopyga mauritiana				I		х	VU
404	Sandfish	Hải sâm trắng (Hải sâm cát)	Holothuria (Metriatyla) scabra				I		х	EN
405	Black teatfish	Hải sâm vú	Holothuria (Microthele) nobilis			II	I		х	EN

# **APPENDIX 2. LIST OF INVASIVE ALIEN SPECIES**

Pursuant to Circular 35/2018/TT-BTNMT promulgating invasive alien species determination criteria and lists:

No	English name	Vietnamese name	Scientific nomenclature	Invasive alien species	Alien species posing invasion risk
	£	OỘNG VẬT KHÔNG X	UONG SÓNG/ INVERTE	BRATES	
1	Coconut hispine beetle	Bọ cánh cứng hại lá dừa	Brontispa longissima	х	
2	Golden apple snail	ốc bươu vàng	Pomacea canaliculata	х	
3	Giant African snail	ốc sên châu Phi	Achatina fulica	х	
4	Australian red claw crayfish/ Queensland red claw/redclaw/ tropical blue crayfish/ freshwater blueclaw crayfish	Tôm càng đỏ, tôm hùm đất	Cherax quadricarinatus	X	
5	Fall web worm	Bướm trắng Mỹ	Hyphantria cunea		Х
6	Green crab	Cua xanh (cua ven bờ châu Âu)	Carcinus maenas		Х
7	Fishhook waterflea	Giáp xác râu ngành pengoi	Cercopagis pengoi		Х
8	Argentine ant	Kiến Ac-hen-ti-na	Linepithema humile		Х
9	Big-headed ant	Kiến đầu to	Pheidole megacephala		Х
10	Red imported fire ant	Kiến lửa đỏ nhập khẩu (kiến lửa đỏ)	Solenopsis invicta		Х
11	Khapra beetle/ cabinet beetle	Mọt cứng đốt	Trogoderma granarium		Х
12	Larger grain borer	Mọt đục hạt lớn	Prostephanus truncatus		Х
13	Queensland fruit fly	Ruồi đục quả châu Úc	Bactrocera tryoni		Х
14	Mediterranean fruit fly	Ruồi đục quả Địa Trung Hải	Ceratitis capitata		Х
15	Mexican fruit fly	Ruồi đục quả Mê- hi-cô	Anastrepha ludens		х
16	South American fruit fly	Ruồi đục quả Nam Mỹ	Anastrepha fraterculus		Х
17	Natal fruit fly	Ruồi đục quả Natal	Ceratitis rosa		Х
18	New Guinea flatworm	Sán ốc sên	Platydemus manokwari		х
19	Northern Pacific seastar	Sao biển nam Thái Bình Dương	Asterias amurensis		Х
20	Rosy wolfsnail/ cannibal snail	Sên sói tía	Euglandina rosea		Х
21	Sea walnut	Sứa lược Leidyi	Mnemiopsis leidyi		Х
22	Red swamp crayfish	Tôm hùm nước ngọt	Procambarus clarkii		Х
23	Mediterranean mussel	Trai Địa Trung Hải	Mytilus galloprovincialis		Х
24	Overbite clam, Asian clam/Amur River clam/ brackish-water corbula	Trai Trung Hoa	Potamocorbula amurensis		Х
25	Zebra mussel	Trai vằn	Dreissena polymorpha		Х

No	English name	Vietnamese name	Scientific nomenclature	Invasive alien species	Alien species posing invasion risk
26	Pine wood nematode	Tuyến trùng hại thông	Bursaphelenchus xylophilus		x
27	Asian long-horned beetle	Xén tóc hại gỗ châu Á	Anoplophora glabripennis		Х
			CÁ/FISH		
28	Western mosquitofish	Cá ăn muỗi	Gambusia affinis	х	
29	Suckermouth catfish	Cá tỳ bà bé (cá dọn bể bé)	Hypostomus plecostomus	х	
30	Amazon sailfin catfish	Cá tỳ bà lớn (cá dọn bể lớn)	Pterygoplichthys pardalis/ Pterygoplichthys multiradiatus/ Pterygoplichthys disjunctivus/ Pterygoplichthys anisitsi	X	
31	Red-bellied pacu	Cá chim trắng toàn thân	Piaractus brachypomus (Colossoma brachypomum)		х
32	Red-bellied piranha/ red piranha	Cá hổ	Pygocentrus nattereri		х
33	Blacktail	Cá hồi nâu	Salmo trutta		х
34	Butterfly peacock bass	Cá hoàng đế	Cichla ocellaris		х
35	Mozambique mouth-breeder/ Mozambique tilapia	Cá rô phi đen	Oreochromis mossambicus		х
36	African sharptooth catfish	Cá trê phi	Clarias gariepinus		х
37	Achigan à petite bouche	Cá vược miệng bé	Micropterus dolomieu		х
38	Largemouth bass	Cá vược miệng rộng	Micropterus salmoides		х
39	Nile perch	Cá vược sông Nile	Lates niloticus		х
		LƯỚNG CƯ - BÒ SA	ÁT/ AMPHIBIANS & REF	PTILES	
40	Red-eared slider	Rùa tai đỏ	Trachemys scripta/ Trachemys scripta elegans	Х	
41	Brown tree snake	Rắn nâu leo cây	Boiga irregularis		х
42	Giant toad	Cóc mía	Bufo marinus		Х
43	American bullfrog	Éch ương beo	Rana catesbeiana		х
44	Puerto Rican coqui	Éch Ca-ri-bê	Eleutherodactylus coqui		х
		1	J/ BIRDS & MAMMALS		
45	Coypu	Hải ly Nam Mỹ	Myocastor coypus	х	
46	Stoat/short-tailed weasel	Chồn ecmin	Mustela erminea		х
47	Domestic goat	Dê hircus (dê)	Capra hircus		х
48	Eastern gray squirrel	Sóc nâu, sóc xám	Sciurus carolinensis		Х
49	Common brushtail possum	Thú opốt	Trichosurus vulpecula		х

# **APPENDIX 3. LIST OF LEGAL PAPERS**

Purpose	Relevant papers	Legal basis
	D64 species	
Exploitation for biodiversity preservation, scientific research, and creating initial breed/variety source	<ul> <li>Exploitation license granted by MONRE</li> <li>Written consent from:         <ul> <li>✓ the management boards of natural preservation zones for exploitation activities occurring at natural preservation zones</li> <li>✓ owners of biodiversity preservation facilities for exploitation activities occurring at biodiversity preservation facilities</li> <li>✓ provincial specialized agencies for exploitation activities occurring outside natural preservation zones and/or outside biodiversity preservation facilities</li> </ul> </li> </ul>	Decree 160, Article 11
Importing	<ul> <li>Quarantine certificate issued by the competent authority of the exporting country to meet veterinary hygiene requirements of Vietnam and the Certificate of Quarantine issued by the Department of Vietnam Veterinary Medicine issued in case of need to re-quarantine</li> <li>Written approval from MONRE prior to issuance of the import permit</li> <li>If the species is also listed under CITES Appendices:</li> <li>Copy of import permit from CITES VN</li> <li>Export or re-export permit from the export country</li> </ul>	<ul> <li>CITES, Articles III and IV</li> <li>Law on Veterinary Medicine, Articles 37, 44, 45, 46, 47, and 58</li> <li>Decree 160, Article 15</li> <li>Decree 06, Articles 19, 22, and 25</li> </ul>
Exporting	<ul> <li>Quarantine certificate</li> <li>Written approval from MONRE prior to issuance of the export permit</li> <li>Export permit from CITES VN</li> <li>Copy of import permit from the import country if the species is listed under CITES Appendix I</li> </ul>	<ul> <li>CITES, Articles III and IV</li> <li>Law on Veterinary Medicine, Articles 41, 56, and 57</li> <li>Decree 160, Article 15</li> <li>Decree 06, Articles 19, 22, and 23</li> </ul>
Raising and breeding for commercial purposes	Not regulated	
<ul> <li>License granted by provincial People's Committee specimen exchange, trade, gifting, or renting</li> <li>Papers proving legal origin of the specimens</li> <li>Agreement documents on exchanging, trading, grenting of the specimens</li> <li>Certified copy of the papers proving legal status organizations involved</li> </ul>		Decree 160, Article 12

Purpose	Relevant papers	Legal basis
Storage and transport (for non-commercial purposes)	<ul> <li>Confirmation document from the Provincial People's Committee</li> <li>Papers proving legal origin of the specimens</li> <li>Quarantine certificate (in case of transporting specimens to another province)</li> <li>License for exchanging, trading, gifting, or renting of the specimens</li> </ul>	<ul> <li>Law on Veterinary Medicine, Articles 38 and 54</li> <li>Decree 160, Article 12</li> </ul>
Rearing and breeding for conservation and scientific research purposes	<ul> <li>Conservation facility certificate or license to rear and breed animals on the List of species prioritized for protection (in the case of registering species that aren't currently listed under the conservation facility certificate)</li> <li>Papers proving legal origin of the specimens</li> <li>The certified environmental protection plan or the approved environmental impact assessment report according to the regulations</li> </ul>	<ul> <li>Law on Biodiversity, Article 42</li> <li>Decree 160, Article 13</li> <li>Decree 18, Appendix II</li> <li>Circular 09, Articles 36 and 37</li> </ul>

**Note:** For species of D06 Groups IB and IIB, or CITES Appendix species which are also D64 species, the management regime prescribed in Decree 06 shall apply. In case Decree 06 does not contain provisions but Decree 160 has regulations, Decree 160 shall apply. In particular, for exploitation activities to create initial seed sources for scientific research, the provisions of Decree 160 shall apply.

#### CITES Appendix I species/D06 Group IB species • An exploitation plan according to the form in the Appendix enclosed with Decree 06 Notice of exploitation to the provincial Forestry or Fisheries authorities for monitoring implementation. **Exploitation** Decree 06, Article 12 **Note**: The exploitation of these species is only conducted for the purpose of serving scientific research themes and projects, generating original stock for breeding and artificial propagation, or serving foreign affairs under decisions of the Prime Minister. Quarantine certificate issued by the competent authority CITES, Article III of the exporting country to meet veterinary hygiene requirements of Vietnam and the Certificate of Quarantine Law on Veterinary issued by the Department of Vietnam Veterinary Medicine Medicine, Articles 37, 44, **Importing** issued in case of need to re-quarantine 45, 46, 47, and 58 • Decree 06, Articles 19, Import permit from CITES VN 22. and 25 Export or re-export permit from the export country

Purpose	Relevant papers	Legal basis
Exporting	Quarantine certificate     Import permit copy from the import country     Export or re-export permit from CITES VN  Note: For the exporting of breeding stock and aquatic species on the List of aquatic species prohibited from export or the List of exported aquatic species subject to certain conditions which fails to satisfy conditions for scientific research or international cooperation, the dossiers of application for export permits of those species shall be submitted to the Directorate of Fisheries (for approval by the Prime Minister).	<ul> <li>CITES, Article III</li> <li>Law on Veterinary Medicine, Articles 41, 56 and 57</li> <li>Decree 06, Articles 19, 22, and 23</li> <li>Decree 26, Articles 23 and 69</li> </ul>
Raising and breeding	<ul> <li>Codes of raising facilities</li> <li>Activity monitoring book</li> <li>An environmental impact assessment and environmental protection plan appraised by a competent authority as prescribed by law</li> <li>Certification of fulfillment of food safety conditions (in the case of breeding for the purpose of providing or producing food), unless farmed on a household scale or at individual households</li> <li>Depending on the species, the following documents might also be required:</li> <li>For forest species: Packing list of forest products</li> <li>For aquatic species:</li> <li>✓ Certificate of origin of aquatic species; and</li> <li>✓ Registration of cage aquaculture and main aquatic species; or</li> <li>✓ Registration of mariculture licenses.</li> <li>Sale invoice as prescribed (if any)</li> <li>Other documents proving wildlife origin</li> </ul>	<ul> <li>Decree 18, Appendix II</li> <li>Decree 06, Articles 17, 18, and 20</li> <li>Decree 26, Articles 36, 37, and 38</li> <li>Circular 09, Articles 36 and 37</li> <li>Circular 27, Articles 5, 7, 30, and 31</li> </ul>
Transporting (out of the province but within the country)	<ul> <li>Quarantine certificate</li> <li>Sale invoice as prescribed (if any)</li> <li>Papers proving legal origin of the specimens</li> <li>Packing list of forest products</li> <li>Other documents proving wildlife origin</li> </ul>	<ul> <li>Law on Veterinary Medicine, Articles 39, 54, and 55</li> <li>Decree 26, Articles 39 and 40</li> <li>Decree 06, Article 30</li> <li>Circular 27, Articles 5, 7, and 24</li> </ul>

Purpose	Relevant papers	Legal basis		
Processing and trading (only for F2 of species on the list of commercially permitted species)	<ul> <li>Activity monitoring book</li> <li>Sale invoice as prescribed (if any)</li> <li>Papers proving legal origin for aquatic and forest animals</li> <li>Certified packing list of forest products</li> <li>Certificate of food safety (in the case of food processing and trading products, except for small establishments; no fixed location; prepackaged food business; restaurant in a hotel; collective kitchen without food business registration; street food business, or have been granted one of several other standard certificates);</li> <li>Other documents proving wildlife origin</li> </ul>	<ul> <li>Law on Food Safety</li> <li>Decree 06, Article 29</li> <li>Decree 26, Article 66</li> <li>Decree 15, Articles 12 and 12</li> <li>Circular 09, Article 37</li> </ul>		
	CITES Appendix II species/Group IIB species			
Exploitation	<ul> <li>An exploitation plan according to the form in the Appendix enclosed with Decree 06</li> <li>Notice of exploitation to the provincial Forestry or Fisheries authorities for monitoring implementation</li> <li>Note: The exploitation of these species is only conducted for the purpose of serving scientific research themes and projects, generating original stock for breeding and artificial propagation, serving foreign affairs under decisions of the Prime Minister, and sustainable trade according to the law.</li> </ul>	Decree 06, Article 12		
Importing	<ul> <li>Export permit from the export, re-export country</li> <li>Import permit from CITES VN</li> <li>Quarantine certificate issued by the competent authority of the exporting country to meet veterinary hygiene requirements of Vietnam and the Certificate of Quarantine issued by the Department of Vietnam Veterinary Medicine issued in case of need to requarantine</li> </ul>	<ul> <li>CITES, Article IV</li> <li>Law on Veterinary Medicine, Articles 37, 44, 45, 46, 47, and 58</li> <li>Decree 06, Articles 19, 22, and 25</li> </ul>		
Exporting	<ul> <li>Export permit from CITES VN</li> <li>Quarantine certificate</li> <li>Note: For the exporting of breeds and aquatic species on the List of aquatic species prohibited from export or the List of exported aquatic species subject to certain conditions which fails to satisfy conditions for scientific research or international cooperation, the dossiers of application for export permits of those species shall be submitted to the Directorate of Fisheries (for approval by the Prime Minister).</li> </ul>	<ul> <li>CITES, Article IV</li> <li>Law on Veterinary Medicine, Articles 41, 56, and 57</li> <li>Decree 06, Articles 20, 22, and 23</li> <li>Decree 26, Articles 23 and 69</li> </ul>		

Purpose	Relevant papers	Legal basis
Raising and breeding	<ul> <li>Code of raising facility</li> <li>Activity monitoring book</li> <li>Certified environmental protection plan or environmental impact assessment report approved under regulations</li> <li>In addition, depending on the species of wildlife cultured, required documents are as follows:</li> <li>For forest animals: List of forest products certified by Forestry agency.</li> <li>For aquatic animals: Certificate of origin and</li> <li>✓ With the form of cage aquaculture or main aquatic species, a certificate of farming registration for aquaculture in cages or registration of aquaculture of main aquatic species is required</li> <li>✓ With the form of aquaculture at sea, a certificate of marine aquaculture is required.</li> <li>Financial invoices as prescribed (if any);</li> <li>Other documents proving the legal origin of wildlife</li> </ul>	<ul> <li>Decree 06, Articles 17, 18, and 20</li> <li>Decree 26, Articles 36</li> <li>Decree 18, Appendix II</li> <li>Circular 27, Articles 5, 7, 30, and 31</li> </ul>
Transporting (out of the province but within the country)	<ul> <li>Quarantine certificate</li> <li>Financial invoice as prescribed (if any)</li> <li>Papers proving legal origin of aquatic species</li> <li>Certified packing list of forest products</li> <li>Other documents proving wildlife origin</li> </ul>	<ul> <li>Law on Veterinary Medicine, Articles 39, 54, and 55</li> <li>Decree 26, Articles 39 and 40</li> <li>Decree 06, Articles 9 and 30</li> <li>Circular 27, Articles 5, 7, and 24</li> </ul>
Raising and breeding (only for F1 of species on the list of commercially permitted species)	<ul> <li>Activity monitoring book</li> <li>Financial invoices as prescribed (if any)</li> <li>Certificate of origin (for aquatic animals)</li> <li>A list of forest products certified by the forest protection agency</li> <li>Certificate of food safety (in the case of food processing and trading products, except for small establishments; no fixed location; prepackaged food business; restaurant in the hotel; collective kitchen without food business registration; street food business or have been granted one of several other standard certificates);</li> <li>Other documents proving wildlife origin</li> </ul>	<ul> <li>CITES Article VII</li> <li>Law on Food Safety</li> <li>Decree 06, Article 29</li> <li>Circular 27, Articles 5, 7, 30, and 31</li> <li>Decree 15, Articles 11 and 12</li> <li>Circular 09, Articles 36 and 37</li> </ul>

Purpose	Relevant papers	Legal basis
	Common forest species	
Exploitation	<ul> <li>An exploitation plan for common species from the wild according to the form in Circular 27</li> <li>Packing list of forest products (after exploiting, forest product owners prepare and submit to local Forest Protection Agency)</li> </ul>	Circular 27, Article 11
Importing	Quarantine certificate issued by the competent authority of the exporting country to meet veterinary hygiene requirements of Vietnam and the Certificate of Quarantine issued by the Department of Vietnam Veterinary Medicine issued in case of need to re-quarantine	Law on Veterinary Medicine, Articles 37, 44, 45, 46, 47, and 58
Exporting	Packing list of forest products certified by the competent FPD     Quarantine certificate	Law on Veterinary     Medicine, Article 41     Circular 27, Article 27
Transporting (out of the province but within the country)	<ul> <li>Sales invoice as prescribed (if any)</li> <li>Packing list of forest products certified by the competent FPD</li> <li>Quarantine certificate</li> <li>Other documents proving the origin of wildlife</li> </ul>	<ul> <li>Law on Veterinary Medicine, Articles 39 and 55</li> <li>Circular 27, Articles 5, 7, and 24</li> </ul>
Raising and breeding	<ul> <li>Activity monitoring book</li> <li>Sales invoice as prescribed (if any)</li> <li>Packing list of forest products certified by the competent FPD</li> <li>Certificate of food safety (in the case of breeding for the purpose of providing and producing food), unless farmed on a household scale, or at individual households</li> <li>Certified environmental protection plan or environmental impact assessment report approved under regulations</li> <li>Other documents proving wildlife origin</li> </ul>	<ul> <li>Decree 18, Appendix II</li> <li>Circular 27, Articles 5, 7, and 31</li> <li>Circular 09, Article 37</li> </ul>
Processing and trading	<ul> <li>A logbook of operation</li> <li>Sales invoice as prescribed (if any)</li> <li>Packing list of forest products</li> <li>Certificate of food safety (in the case of breeding for the purpose of providing and producing food), unless farmed on a household scale, or at individual households</li> <li>Other documents related to legal origin records of species raised at the facility</li> </ul>	<ul> <li>Law on Food Safety</li> <li>Circular 27, Articles 5, 7, and 31</li> <li>Circular 09, Article 37</li> </ul>

Purpose	Relevant papers	Legal basis		
Aquatic species of D26 (not listed in CITES Appendices) and common aquatic species				
Exploitation	<ul> <li>A valid license for exploitation</li> <li>Plan for exploitation of endangered, precious, and rare aquatic species (for activities relating to exploiting endangered, precious, and rare aquatic species of D26 Group I or Group II)</li> <li>A fishing logbook</li> <li>Note: The exploitation of D26 Group I species is only conducted for the purposes of conservation, scientific research, creation of original breeding stock, and international cooperation. The exploitation of D26 Group II species is conducted for the purposes of conservation, scientific research, creation of original breeding stock, and international cooperation, or to satisfy the conditions specified in Decree 26.</li> </ul>	Decree 26, Articles 8, 20, 21, 22, 23, 24, 25, 26, 27, 28, and 29		
Importing	<ul> <li>An application for the license to import aquatic breeds (importing aquatic breeds that are not on the <i>List of aquatic species licensed for sale in Vietnam</i> for the purpose of scientific research or display at fairs and exhibitions shall obtain a license from the Directorate of Fisheries)</li> <li>Quarantine certificate issued by the competent authority of the exporting country to meet Vietnam's veterinary hygiene requirements, and the Certificate of Quarantine issued by the Department of Vietnam Veterinary Medicine issued in case of need to re-quarantine</li> </ul>	<ul> <li>Law on Veterinary Medicine, Articles 41, 42, 44, 45, 46, 47, 48, 49, 50, 51, 52, and 53</li> <li>Decree 26, Articles 22 and 67</li> </ul>		
Exporting	<ul> <li>Certificate of quarantine (for aquatic species named in the list of aquatic products subject to quarantine) issued by the Department of Animal Health, according to the requirements of the importing country or commodity owner. In the case of no request from them, a quarantine certificate is to be issued by the veterinary authority of the place of departure.</li> <li>Export license in case of export of species on the List of aquatic species prohibited from export for the purposes of scientific research or international cooperation.</li> <li>Note: In case of exporting an aquatic species that appears on the List of aquatic species prohibited from export or the List of exported aquatic species subject to certain conditions which fails to satisfy conditions for scientific research or international cooperation, an application shall be submitted to the Directorate of Fisheries (for approval by the Prime Minister).</li> </ul>	<ul> <li>Law on Veterinary Medicine, Articles 38, 41, 42, 53, 54, 56, and 57</li> <li>Decree 26, Articles 23 and 69</li> </ul>		
Transporting and trading (out of the province but within the country)	<ul> <li>Quarantine certificate of aquatic animals and their products issued by the veterinary authority of the place of departure</li> <li>Certificate of origin of aquatic species (aquatic species of D26 Group I/II)</li> <li>Other documents related to legal origin records of species raised at the facility</li> </ul>	<ul> <li>Law on Veterinary Medicine, Articles 54 and 70</li> <li>Decree 26, Articles 39 and 40</li> </ul>		

Purpose	Relevant papers	Legal basis
Raising and breeding	<ul> <li>Certificate of eligibility for aquaculture (optional)</li> <li>Certificate of origin of aquatic species (aquatic species of D26 Group I/II)</li> <li>Marine aquaculture license (in case of marine farming)</li> <li>With the form of cage aquaculture or main aquatic species, a certificate of farming registration for aquaculture in cages or registration of aquaculture of main aquatic species is required</li> <li>A certified environmental protection plan or environmental impact assessment report approved according to the law</li> <li>Certificate of food safety (in the case of breeding for the purpose of providing and producing food), unless farmed on a household scale or at individual households</li> <li>Other documents proving wildlife origins</li> </ul>	<ul> <li>Decree 18, Appendix II</li> <li>Decree 26, Articles 34, 35, 36, 37, 38, 39, and 40</li> <li>Circular 09, Article 37</li> </ul>
Processing and trading facility	<ul> <li>Certificate of origin of aquatic species (aquatic species of D26 Group I/II);</li> <li>Log book of processing (aquatic species of D26 Group I/II);</li> <li>The finished product to be sold must be labeled (aquatic species of D26 Group I/II)</li> <li>The establishment's certificate of food safety</li> <li>Other documents proving wildlife origin</li> </ul>	<ul><li>Law on Food Safety</li><li>Decree 26, Article 66</li><li>Circular 09, Article 37</li></ul>

# APPENDIX 4. LIST OF VALUATION ENTERPRISES WITH FUNCTIONS AND VALUATION CAPABILITIES FOR WILDLIFE (UPDATED TO DECEMBER 31, 2020)

(only available in Vietnamese version)



# **EDUCATION FOR NATURE - VIETNAM (ENV)**

Education for Nature – Vietnam (ENV) was established in 2000 as

Vietnam's first non-governmental organization focused on the conservation of
nature and the protection of the environment. ENV combats the illegal wildlife
trade and aims to foster greater understanding amongst the Vietnamese public about
the need to protect nature and wildlife. ENV employs creative and innovative strategies to
influence public attitudes and reduce demand for wildlife trade products. ENV works closely with
government partners to strengthen policy and legislation, and directly supports enforcement efforts in
the protection of endangered species of regional, national, and global significance.

#### **ENV STRATEGIC PROGRAMS**

Since 2007, ENV has focused its activities on three major program areas that comprise ENV's integrated strategic approach for addressing illegal wildlife trade in Vietnam. These include:



#### CONTACT INFORMATION

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www.facebook.com/EducationForNatureVietnam

www.twitter.com/edu4naturevn

- Working with policy-makers to strengthen legislation, close loopholes in the law, and promote sound policy and decision-making relevant to wildlife protection.
- Strengthening enforcement through direct support and assistance to law enforcement agencies, and mobilizing active public participation in helping combat wildlife crime.
- Reducing consumer demand for wildlife products through investment in a long-term and sustained effort to influence public attitudes and behavior.